

**COMMISSION OF INQUIRY INTO MONEY LAUNDERING IN BRITISH COLUMBIA**

**The Honourable Mr. Austin F. Cullen, Commissioner**

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**AFFIDAVIT NO. 2 of JOSEPH EMILE LEONARD MEILLEUR**

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I, Joseph Emile Leonard Meilleur, of [REDACTED] retiree, SWEAR  
THAT:

1. I am a former employee of the Gaming Policy and Enforcement Branch ("GPEB") and, as such, I have personal knowledge of the facts and matters deposed to in this affidavit, save and except where based on information and belief, and where so stated, I believe it to be true.
2. On February 9, 2021, I swore an affidavit that has been marked as Exhibit 587 in these Commission Proceedings.

Training

3. In my role as Executive Director of Compliance of GPEB, I would encourage and recommend training for GPEB Compliance staff, including GPEB Investigators. The Directors, Senior Directors, and Managers under my supervision were responsible for ensuring that employees were supported and encouraged to take training. I did not have the authority to approve budget and spending for training. Only the Assistant Deputy Minister (ADM) has this authority. GPEB did not have a specific line item in its budget for training or any dedicated funding for training. Ministerial approval was required for any budgetary expenditures for training. Obtaining funding for training and attendance to gaming related conferences or workshops was a constant challenge for me as our budget annually did not increase and there were very limited funds made available for these

purposes by the Ministry of Finance due to competing budget demand and budget constraints. The Ministry of Finance did not increase GPEB's budget or amend it for the purpose of training during my tenure as Executive Director of Compliance.

4. Training requests were brought forward to the Executive for prioritization and recommendation for approval by the Assistant Deputy Minister. The Executive was comprised of the Assistant Deputy Minister and the various Executive Directors and Directors in charge of various specific programs in GPEB.

5. For any expenditure by government for training or professional membership or dues, a request was submitted to the Assistant Deputy Minister for approval. Attached hereto and marked as **Exhibit "A"** (GPEB5838) is a true copy of a business case prepared by Mr. Robert Stewart, Manager of GPEB Intelligence, for Mr. John Mazure, Assistant Deputy Minister, to Request Ministry of Finance's approval to secure funds for the purpose of joining the Association of Law Enforcement Intelligence Units (LEIU) and the National Gaming Information Sharing Group (NGISG) for GPEB's Intelligence Unit.

6. I supported the request for funding for the membership dues for LEIU and NGISG. Attached hereto and marked as **Exhibit "B"** (GPEB5863) is a true copy of an email exchange from me to the Executive documenting my support for this initiative and advising of the Assistant Deputy Minister's approval for the membership dues.

7. Attached hereto and marked as **Exhibit "C"** (GPEB5841) is a true copy of a GPEB List of Training/Webinars/Education attended by GPEB staff and investigators from 2013 to early 2015. This form of document was typically used for tracking what training was approved for the divisions of GPEB.

8. Attached hereto and marked as **Exhibit "D"** (GPEB5844) is a true copy of a spreadsheet containing Compliance Staff Training Proposed and Approved for 2015 and early 2016.

9. Attached hereto and marked as **Exhibit "E"** (GPEB5851) is a true copy of a Spreadsheet documenting Compliance Training Requests 2016/2017.

10. Attached hereto and marked as **Exhibit "F"** (GPEB5854) is a true copy of a Spreadsheet documenting Compliance Training Requests 2017/2018.

11. Attached hereto and marked as **Exhibit "G"** (GPEB5880) is a true copy of a Spreadsheet documenting upcoming GPEB Conference and Training 2017/2018 dated May 3, 2017.

12. Exhibits E, F, and G refer to acronyms and titles which I have expanded upon below:

- a. Canadian Gaming Regulators Association (CAGRA) provided a venue for Regulators in Canada to address money laundering concerns. GPEB made presentations at CAGRA about money laundering issues and concerns in their in person jurisdictional update.
- b. BCLC's New Horizons in Responsible Gambling conference, which brought together responsible gambling researchers, policy makers, regulators and industry representatives from around the world to discuss developments in prevention, response and best practices. It was an annual conference since 2013. My recollection is that David Horricks, Executive Director of Responsible Gambling at GPEB, worked with BCLC to organize components for this conference. GPEB Compliance staff attended this annual conference.
- c. Protecting the Game is a course offered to gaming investigator by the University of Nevada located in Las Vegas. This course provided by the Nevada Gaming Commission offered gaming investigators an opportunity to discuss mutual concerns including AML.
- d. The North American Gaming Regulators Association (NAGRA) – NAGRA was an international version of CAGRA. There are AML components in the annual conference.

- e. LEIU had webinars and conference calls with an AML component.
- f. The Canadian Gaming Summit was an annual summit that had a regulatory component which addressed AML through workshops and panels.

13. Attached hereto and marked as **Exhibit "H" (GPEB5896)** is a true copy of my email to Courtney Poirier. Ms. Poirier worked in the unit responsible for GPEB budget management under the Assistant Deputy Minister. It attaches a spreadsheet of the training I request for GPEB staff and investigators for 2017/2018.

14. Attached hereto and marked as **Exhibit "I" (GPEB5849)** is a copy of the 2017/2018 spreadsheet found at Exhibit H, with the additional columns of Priority and 2016/17 Attendees.

15. My request to have Canadian Anti-Money Laundering Institute (CAMLI) certification for GPEB investigators was not approved and it was deferred to the 2018/2019 fiscal year. The CAMLI certification was the Canadian equivalent of ACAMS certification.

16. In my role as acting Assistant Deputy Minister, while Mr. Mazure was on vacation, I approved CAMLI training for Mr. John Bressette, a GPEB Investigator.

17. Attached hereto and marked as **Exhibit "J" (GPEB 5894)** and **Exhibit "K" (GPEB5895)** are true copies of my email exchanged with Chris Knight, Regional Director, approving Mr. Bressette's Canadian Anti-Money Laundering Institute (CAMLI) training.

18. I had a membership to ACAMS during my tenure as Executive Director of Compliance through BCLC's corporate membership. It provided me access to the website and free webinars. I regularly forwarded any training materials, including webinars to my compliance staff. Attached hereto and marked as **Exhibit "L" (GPEB5912)** is an example of me forwarding an ACAMs Presentation to Mr. Scott McGregor and Mr. Ken Ackles.

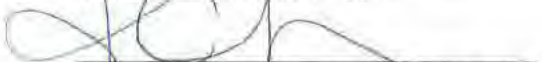
19. During my tenure, I am aware that some GPEB staff completed ACAMS training offered by BCLC.

Presence of GPEB Investigators

20. During my tenure as Executive Director of Compliance of GPEB, in late 2016 or early 2017, I provided direction to Anna Fitzgerald and Robert Stewart that GPEB Investigators needed to have a greater presence in casinos. At that time, we had limited resources. Investigators' presence was normally Monday to Friday between the hours of 9AM to 5PM. I directed that GPEB investigators have a presence during the evenings and weekends at Lower Mainland casinos. However, at that time, GPEB did not have sufficient staff of investigators to do coverage of evenings and weekends at casinos.

21. It is my recollection that the initiative discussed above in paragraph 17 of my Affidavit was advanced by Ms. Fitzgerald to the Assistant Deputy Minister and I understand it continued to be advanced after my retirement. Ms. Fitzgerald succeeded me as Executive Director of Compliance. It was a lengthy process, as it took several months to obtain approvals from the Ministry Strategic Planning and to navigate through the Human Resources process to fill new or vacant positions. I am advised by Ms. Anna Fitzgerald and Robert Stewart, and verily believe this initiative came to fruition after my departure.

SWORN BEFORE ME at  
Victoria, British Columbia, this 17<sup>th</sup>  
day of September, 2021

  
A commissioner for taking affidavits  
for British Columbia

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Joseph Emile Leonard Meilleur

**Kaitlyn Chewka**  
**Barrister & Solicitor**  
**Suite 12 - 2544 Dunlavy Street**  
**Victoria, BC V8R 5Z2**


GAMING POLICY AND ENFORCEMENT BRANCH  
COMPLIANCE DIVISION

ASSOCIATION OF LAW  
ENFORCEMENT  
INTELLIGENCE UNITS  
(LEIU) AND THE  
NATIONAL GAMBLING  
INFORMATION  
SHARING GROUP  
(NGISG)

REQUEST MINISTRY OF FINANCE APPROVAL  
TO SECURE FUNDS FOR THE PURPOSE OF  
JOINING THE LEIU AND NGISG

Prepared for:

John Mazure, Assistant Deputy Minister, Gaming Policy and  
Enforcement Branch, Ministry of Finance

This is Exhibit <u>A</u>	referred to in the
affidavit of <u>Joseph Mazure</u>	
sworn before me, at <u>Victoria, BC</u>	
this <u>17</u> day of <u>September</u> 20 <u>22</u>	
	
A COMMISSIONER FOR TAKING AFFIDAVITS IN THE PROVINCE OF BRITISH COLUMBIA	

PO BOX 9202 STN PROV GOVT  
VICTORIA, BC V8W 9J1

# ASSOCIATION OF LAW ENFORCEMENT INTELLIGENCE UNITS(LEIU) AND THE NATIONAL GAMBLING INFORMATION SHARING GROUP(NGISG)

REQUEST MINISTRY OF FINANCE APPROVAL TO SECURE FUNDS FOR  
THE PURPOSE OF JOINING THE LEIU AND NGISG

## PURPOSE

This business case is to request Ministry of Finance approval to join the Association of Law Enforcement Intelligence Units (LEIU) which includes full membership in the National Gambling Information Sharing Group (NGISG). The annual fee for membership in the LEIU is \$395.00.

## BACKGROUND

"Over the years LEIU has expanded its role within the criminal intelligence field to also include the establishment of professional standards and guidelines, comprehensive training, conferences and regional training courses, authoritative publications, networking opportunities, and an independent voice in advocating information sharing and professionalism in the criminal intelligence field. Today, LEIU's stated mission is: "Providing leadership and promoting professionalism in the criminal intelligence community in order to protect public safety and constitutional rights." <sup>1</sup>

The NGISG works toward a common goal of gathering information and producing timely intelligence that is shared within the working group. NGISG members' extensive knowledge in all areas of the gambling industry has evolved this working group into an essential component that consistently prevents and mitigates criminal activity targeting the gambling industry. <sup>2</sup>

<sup>1</sup> LEIU History, Purpose and Operations, 2009; <sup>2</sup>About NGISG, LEIU website

## CURRENT STATE

The GPEB Intelligence Unit consists of one Manager and one Senior Field Analyst who filled the newly created position in April, 2016. Working relationships with the GPEB Investigators and Auditors has been established facilitating a somewhat adequate flow of current information. However there are challenges in the area of data mining existing information sources given the search limitations in GOS, the various procedures and systems related to reports and information storage and the lack of analytical software. These issues are a priority and are being addressed as the unit evolves.

The GPEB Intel Unit is expanding its external Police and Government intelligence networks and is recognized as a police intelligence agency by the RCMP, CI/SFU-BC and local major police agencies. A MOU with the RCMP that will support information sharing with their Federal and Provincial units as well as their Municipal Detachments is nearing completion.

On the international front the GPEB Intelligence Unit attends a monthly meeting of the Regional Intelligence Group (RIG) which is comprised of Law Enforcement agencies from both sides of the

Canada/USA border including Vancouver Police Dept., RCMP, CSIS, CBSA, US Customs, US Border Patrol, US State Department to name a few. Topics discussed are related to issues of common interest including organized crime and the travelling criminal.

We have also been invited and currently hold the status of “guest member” with the National Gaming Information Sharing Group. This is a USA based entity consisting of government gaming enforcement agencies from both the US and Canada. These are Law Enforcement based gaming enforcement entities with similar mandates to the GPEB Compliance Division. To become a regular member of the NGISG and have full access to their on-line databases we need to take the next step and join the LEIU.

The GPEB Intelligence Unit is currently focusing on the impact of organized crime and money laundering on the integrity of gaming in BC casinos with the goal of supporting local Law Enforcement operations and informing GPEB Management and subsequently Government.

### ISSUES, RISKS AND OPPORTUNITIES

Highly effective and successful intelligence units rely greatly on the extent and depth of their intelligence networks. Membership in the LEIU presents itself as a great opportunity to connect with virtually all significant law enforcement intelligence agencies in North America. In addition the LEIU is an excellent resource for aiding in the establishment of best practices, recommended standards for collection and storage of information, assessing new products and software as well as management and leadership guides. LEIU members are also given access to an on-line gaming index to assist agencies with gaming license background checks. More importantly for the GPEB Intel Unit, membership in LEIU allows for full membership in the NGISG and all the products and intelligence shared by the group. “The NGISG works toward a common goal of gathering information and producing timely intelligence that is shared within the working group. NGISG members’ extensive knowledge in all areas of the gambling industry has evolved this working group into an essential component that consistently prevents and mitigates criminal activity targeting the gambling industry.”<sup>3</sup>

There is very little risk associated with this membership as the intelligence that is typically shared is about information regarding crime trends, methods of criminal operations, ideologies of extremists groups, fraudulent schemes, cheating at play and other non-identifying information that helps describe and understand criminal activity. GPEB Intel Unit adheres to all the very restrictive and current policies and procedures dictated by law related to sharing personal information with other police agencies.

If after a year it is determined that membership in the LEIU and subsequently the NGISG does not support the needs of the GPEB Intel Unit then the annual membership will be cancelled.

<sup>3</sup> About NGISG, LEIU website

### PROPOSAL

The GPEB Intelligence Unit is a relatively new entity that, to be truly efficient and effective, needs to establish itself within the greater police intelligence community. A successful intelligence unit must also remain current with best practices, criminal trends and the evolution of organized crime activity.

At present the GPEB Intel Unit is developing a foothold in the local and Pacific Northwest police community that is proving to be productive for our needs. However in order to move forward we need to continue to build on our credibility as a unit. Belonging to the LEIU will go a long way in



supporting that goal as membership in this well established organization is very restrictive and will create the necessary level of trust required to effectively share information with a greater number of other agencies. Securing the funds and approval to join is just the first step in becoming a member of LEIU. There is a rigorous application process that requires sponsorship from another member agency, of which I have verbal intent from the VPD that they will do so, submitting a detailed description of our functions and capabilities, review by the LEIU Executive Committee and subsequent vote.

In addition to access to the established North American police intelligence network the LEIU provides support for maintaining a professional intelligence unit that applies best practices and procedures.

Furthermore and the heart of this proposal is that membership in the LEIU includes full membership status in the NGISG which is described on their website as follows:

“The National Gambling Intelligence Sharing Group (NGISG) was established in 2004 as a national enforcement group whose primary objective is the coordination of gambling related intelligence. NGISG members are comprised of vetted Law Enforcement Intelligence Units (LEIU) members and invited guests spanning the United States and Canada responsible for intelligence functions related to gambling enforcement within their jurisdictions. Criminal operations targeting the gambling industry routinely cross jurisdictional lines when conducting criminal activity. The NGISG works toward a common goal of gathering information and producing timely intelligence that is shared within the working group. NGISG members' extensive knowledge in all areas of the gambling industry has evolved this working group into an essential component that consistently prevents and mitigates criminal activity targeting the gambling industry.” The value in having the opportunity to interact with other intel units who have the same mandate and face similar challenges is invaluable. The gaming industry has proven to be very vulnerable to all levels of organized crime and to have the opportunity to share experiences with other jurisdictions and create situational awareness with the goal of mitigating the impact of organized crime cannot be understated.

The GPEB Intel Unit is currently holds a “guest membership” until our application process in LEIU is complete. Full membership allows for greater access to on-line databases as well as the credibility needed to share information in a time sensitive manner.

There is every indication that the GPEB Intel Unit will be accepted as a member of the LEIU and the NGISG and I therefore request approval to secure the necessary annual fee of \$595.00USD and apply for the LEIU and NGISG for membership.

**Butler, Sylvia GPEB:EX**

**From:** Fitzgerald, Anna FIN:EX  
**Sent:** Monday, February 20, 2017 3:31 PM  
**To:** Nagel, Kandice FIN:EX  
**Cc:** Carter, Tanya FIN:EX  
**Subject:** FW: FOR APPROVAL GPEB EXECUTIVE MEETING; LEIU-NGISG Membership  
**Attachments:** Application & Procedures-Interactive.pdf; History, Purpose, and Operations.pdf; LEIU Membership Benefits.pdf; LEIU Tri-fold.pdf; Value of LEIU.pdf; LEIU-NGISG Business Case.docx; Scan\_20170220.pdf

Hello Kandice,  
 I attach the scan together with approval email etc.  
 Anna

**From:** Meilleur, Len FIN:EX  
**Sent:** Tuesday, July 5, 2016 8:43 AM  
**To:** Landry, Dara M FIN:EX  
**Cc:** Fitzgerald, Anna FIN:EX; Stewart, Robert A FIN:EX  
**Subject:** FW: FOR APPROVAL GPEB EXECUTIVE MEETING: LEIU-NGISG Membership

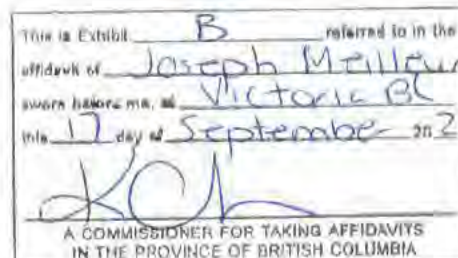
Hi Dana,

Please be advised that our Executive has approved membership to this professional association for our GPEB Compliance Intelligence Unit. I was wondering if you could add that to the annual schedule of professional association who we pay dues for.

Regards,

Len

J.E.L. (Len) Meilleur  
 Executive Director  
 Compliance Division  
 Gaming Policy Enforcement Branch  
 Ministry of Finance  
 Location: 3rd Floor, 910 Government Street, Victoria BC V8W 1X3  
 Mailing Address: P.O. Box 9309 Stn Prov Govt, Victoria BC V8W 9N1  
 Fax: 250-356-0782  
 E-mail: [REDACTED]  
 Website: [www.gaming.gov.bc.ca](http://www.gaming.gov.bc.ca)



*If you believe that you have received this email in error, please notify the sender and delete the email from your mailbox.*

**From:** Meilleur, Len FIN:EX  
**Sent:** Tuesday, June 28, 2016 12:16 PM  
**To:** GPEB Management: All Executive Directors  
**Cc:** Vear, Maureen FIN:EX; Stewart, Robert A FIN:EX  
**Subject:** FOR APPROVAL GPEB EXECUTIVE MEETING: LEIU-NGISG Membership

For discussion at tomorrow's executive meeting.

The cost of this annual membership is \$595.00USD. I am recommending that we support the expense for our Manager of Intelligence to join the group officially and pay the fee. I will be looking for your support at the meeting for this proposal.

Regards,

Len

J.E.L. (Len) Meilleur  
Executive Director  
Compliance Division  
Gaming Policy Enforcement Branch  
Ministry of Finance  
Location: 3rd Floor, 910 Government Street, Victoria BC V8W 1X3  
Mailing Address: P.O. Box 9309 Stn Prov Govt, Victoria BC V8W 9N1  
[REDACTED] Fax:250-356-0782  
E-mail [REDACTED]  
Website: [www.gaming.gov.bc.ca](http://www.gaming.gov.bc.ca)

*If you believe that you have received this email in error, please notify the sender and delete the email from your mailbox.*

## GPEB - List of training/webinars/education:

### Investigations:

FEB 2015 - Poly-Cyb, Police Cyber Crime Conference – Vancouver. Kim Serheniuk attended. Had to bring a laptop, so may qualify as training/education. (No records)

05 NOV 2014 – NAGRA webinar – Money Laundering information session (No course materials available)

14 JUN 2013 – Webinar – AML presentation by ACAMS Case Study – Due Diligence Investigation webinar. (No course materials available)

### Audits:

09 JAN 2015 – ACAMS webinar – Canada Focus: Update on Emerging Trends in AML and Financial Crime. (Responsive Records)

05 NOV 2014 – NAGRA webinar – Money Laundering information session (Responsive Records)

24 Oct 2014 – ACAMS – Changing the AML Game – From Reporting to Rejecting Suspicious. (No Records indicated)

22 AUG 2014 – ACAMS webinar – Notice of Proposed Rulemaking: Gamechanging Rules on Beneficial Ownership. (Responsive Records)

11 MAR 2014 – IIA Webinar – Auditing Corporate Governance: A Framework, and Hot Topics for 2014 Risk Management (Responsive Records)

11 MAR 2014 – IIA Webinar – Cyber Security – How Should Internal Audit be addressing this Increasing Risk (No Records indicated)

11 FEB 2014 – IIA Webinar – Today's Fraud Updates: Investigations, the Internet and the Auditor (Responsive Records)

24 OCT 2014 – Webinar - Taking the Guesswork out of Fraud, Waste and Abuse Risk (no records in government custody or control)

8 APR 2014 – IIA Webinar - Cyber Security – How Should Internal Audit be Addressing this Increasing Risk. (No Records indicated)

VARIED DATES – CIA Certification (IIA) - Fraud Risks and Controls – self-study training as part of certification program provided by Institute of Internal Auditors – (No Records available)

16 APR 2013 – IIA Webinar – Emerging fraud risks (No Records indicated)


03 DEC 2013 - Expanding Your Reach to Put a Face on Financial Crimes (Responsive Records)

### Registration:

16-17 OCT 2014 - IAFCI – Fraud Training Seminar (Responsive Records)

17-18 OCT 2013 – IAFCI – Fraud Training Seminar (Responsive Records)

8-9 APR 2013 - Professional Interviewing Skills (Responsive Records)

This is Exhibit <u>C</u> referred to in the affidavit of <u>Joseph Mellow</u> sworn before me at <u>Victoria BC</u> the <u>17</u> day of <u>September</u> 20 <u>12</u>

A COMMISSIONER FOR TAKING AFFIDAVITS IN THE PROVINCE OF BRITISH COLUMBIA

COMPLIANCE STAFF TRAINING PROPOSED AND APPROVED

ATTENDEES	EA	TRAINING REQUESTED	LOCATION OF TRAINING	DATE OF TRAINING	APPROVED BY AND DATE	COST PER PERSON	RATIONALE	INFORMATION LINKS
Al Giesbrecht	Len Meilleur	AML for Casinos				\$135		
Al Giesbrecht	Len Meilleur	AML course from the Canadian Anti-Money Laundering Institute				\$445		
Al Giesbrecht, Barry Halpenny, Dean Hoffman, Tom Robertson	Len Meilleur	SPC Continuing Education JIBC - Managing the Risk in Risk Management	Kelowna	May 5, 6 & 7/15	SHRB Feb 15/15	\$1,175		Course cost \$250, Travel \$775, meals \$150.
Derek Dickson	Len Meilleur	2015 MGIR (Midwest Gaming Investigators and Regulators Spring Conference)	New Westminster BC	April 14/15	John Mazure March 14/15	\$95 (+GST)		
Mike Lapointe	Bill Mulcahy	Emergency First Air for Industry (OFA Level 1 Equivalent)	Deadwood, South Dakota	April 13 - 16/15		\$1,645		
Nick Tan	Anna Fitzgerald	Emergency First Air for Industry (OFA Level 1 Equivalent)	New Westminster, BC	Apr 4 2015		\$101		
Lynne Li	Anna Fitzgerald	Emergency First Air for Industry (OFA Level 1 Equivalent)	New Westminster, BC	Apr 4 2015		\$101		
Kim Serheniuk	Derek Dickson	POLCYB - Use of Social Media Intelligence in Cybercrime Investigations	Richmond, BC	Mar 26-27/15	SHRB Mar-12/15, John Mazure Feb.26/15	\$880		
2 attendees requested	Anna Fitzgerald	IIA Gaming Conference	Las Vegas, NV	April 8 - 10/15		\$1085 (US)	Only training we know of that combines gaming and audit. Forefront of audit techniques, cross jurisdictional representation of audit issues, good networking	<a href="http://ca.iaa.org/education/conferences/coming/Pages/2015-Gaming-Conference.aspx">http://ca.iaa.org/education/conferences/coming/Pages/2015-Gaming-Conference.aspx</a>
Anna Fitzgerald and Bill Mulcahy	Len Meilleur	Intelligence Led Policing and Crime Analysis: The Leading Edge	Vancouver, BC	June 22 2015		\$500		
	Len Meilleur	IAGA Summit	Vancouver BC	June 2 - 5/15		\$500	Summit rarely held in Vancouver.	<a href="http://www.ibeia.org/015-2015-06-summit">http://www.ibeia.org/015-2015-06-summit</a>
1 attendee requested	Anna Fitzgerald	2015 NAGRA Annual Training & Education Conference	Denver, CO	June 15-18/15		\$965 (USD Prior to May 1st, \$625 after + travel costs)	Historically excellent technical presentations, latest regulatory issues raised, opportunities to strengthen network	<a href="http://www.nagra.org/education/education">2015 NAGRA Annual Training &amp; Education Conference</a>
Sandy Fraser Cowan	Peter Werner	NFPFA Survey of the Standards under Part 111 of the Handbook	Vancouver BC	June 25 2015		\$425		
Doug Mayer	Anna Fitzgerald	POLYCB Quarterly Meeting Workshop	Vancouver BC	June 25 2015		\$79	group discounts will be available and there is further discount available if participants are willing to volunteer	
4 attendees requested	Anna Fitzgerald	IIA's 2015 International Conference	Vancouver BC	July 5 - 8/15		\$475	Provide opportunity to stay current with ever-changing audit issues, strengthen and support internal audit network in BC, international presence	<a href="http://www.iaa.org">http://www.iaa.org</a>
Anna Fitzgerald	Len Meilleur	2015 CAGRA	Shediac, New Brunswick	Sept 15 - 17 2015		\$475	Canadian regulator conference highlights common developments and challenges, strengthens network.	
3 attendees requested	Len Meilleur	PLMS Conference	Kelowna	May 5, 6 & 7/15		\$250 per person	Dean Hoffman, Tom Robertson and Barry Halpenny to attend.	
Al Giesbrecht	Len Meilleur	Canadian Focus Compliance Issues	Victoria BC	Nov 4 2015		\$278	Conference put on by the Ministry of Social Development and Social Innovation.	
Sandy Fraser Cowan	Peter Werner	Change Management	Victoria BC	Dec 2 2015		\$450		
Multiple Attendees	Len Meilleur	Protecting the Games	Las Vegas, NV	late winter/spring		Tuition \$500, total cost for trip approx \$200 - \$2500	Chris Knight, Ken Ackles, Rob Linford and Bob Stewart has attended this course in 2015.	
1 attendee per year	Derek Dickson	Western States Gaming Conference	Deadwood, North Dakota	Annually in the Spring		Tuition \$85, Total costs approx \$1500 - \$2000		
9 Participants		Leadership development Program					Doug Mayer, Neil Vanderwerf, Sue Whittred, Sandy Fraser Cowan, Tim Storms, Lyn Li, Mike Monson, Rob Linford, Ryan Brenner	


This Exhibit is referred to in the affidavit of Joseph Meilleur sworn before me at Victoria BC this 17 day of September 2012

A COMMISSIONER FOR TAKING AFFIDAVITS IN THE PROVINCE OF BRITISH COLUMBIA

Sandy Fraser Cowan	Peter Werner	Why didn't we see this coming? Risk Management and Governance	Victoria BC	2016-02-01	\$450
Sandy Fraser Cowan	Peter Werner	Team Development	Victoria BC	2016-03-01	\$450.00
Doug Mayer	Anna Fitzgerald	Financial Statement Analysis/Understanding	Online	2016-01-01	\$99.00
Nick Tan	Anna Fitzgerald	Critical Financial Ratios	Online	Jan 18 2015	\$440
Lylin Li	Anna Fitzgerald	IFRS- A Survey of the Standards	Online	Jan 18 2015	\$440
Michael Brown	Anna Fitzgerald	CISA (Certified Information Systems Auditor)	Vancouver	Oct 1 2015	\$1,435.10
Al Giesbrecht	Len Meilleur	Continuing Education-	New Orleans	Mar 22 - 25 2016	\$700
Len Meilleur	Len Meilleur	Ethics 24/7 for CPA's	Victoria BC	Jun 16 2016	\$231
Scott McGregor	John Mazure	ACAMS	Las Vegas, NV	Sept 26 2016	\$3,606
Bob Stewart	Len Meilleur	LEIJ//IAEA	New Orleans	April 25 - 29 2016	\$3,650.00
	Len Meilleur	LEIJ//IAEA	New Orleans	April 25 - 29 2016	\$3,650

Compliance Training Requests 2016/2017

Requester	Office Location	Course	Submitted Date	Date Submitted to ADM	Date Submitted to CACM	Date Submitted to FIN/Training	Outcome
Kyle Mace	Kelowna	UNW/ Protecting the Games New Position Responsibilities Course	02-Mar-17	03-Mar-17	03-Mar-17	02-Mar-17	Approved
Anna Fitzgerald	Abbotsford	Standard Field Kit WCFE-CAD (IL John's Attendance)	07-Feb-17	08-Feb-17		07-Feb-17	Approved
Cheryl Graham	Burnaby-Kingway		03-Jan-17	03-Jan-17	03-Jan-17	03-Jan-17	Approved by ADM, sent to FIN/Training. Previously approved by ADM and Executive. Requested Maureen to be the lead for the account to ensure consistency with FIN/Training. Previously approved by ADM and Executive. Requested Maureen to be the lead for the account to ensure consistency with FIN/Training.
Eric Sharma	Burnaby - Devonian	Substitutes	12-Dec-16	13-Dec-16	13-Dec-16	03-Jan-17	Approved
Eric Sharma	Burnaby - Devonian	Information Technology New Hierarchy Responsibilities	13-Dec-16	13-Dec-16	13-Dec-16	03-Jan-17	Approved
Peg Entwistle	Victoria	Protecting the Games	05-Dec-16	05-Dec-16	05-Dec-16		Sent to FIN/Training. Approved
Scott McCreigh	Burnaby - Kingway	NDA	05-Sep-15	05-Dec-16	05-Dec-16		Approved Dec 7/16 This request is in a spot of confusion as it is a spot of confusion as it is a spot of confusion. Approved
Karen Roberts	Powell Street Bldg	Admin Lark Course	22-Nov-15	22-Nov-15	22-Nov-15		Approved
Michelle Mowbray	Victoria	Change Leadership and Management	07-Sep-16	08-Sep-16	08-Sep-16		Approved
John Braxator	Prince George	Protecting the Games	03-Sep-16	03-Sep-16	03-Sep-16		Approved
Michelle Mowbray	Victoria	Protecting the Games	31-Aug-16	31-Aug-16	31-Aug-16		Approved
Carol O'Brien	Victoria	Protecting the Games	23-Aug-16	23-Aug-16	23-Aug-16		Approved
Lauren Merrettson	Burnaby - Kingway	Protecting the Games - Fees	21-Sep-16	21-Sep-16	21-Sep-16	08-Mar-17	John advised he supports the request but asked we hold for now and request again once we have greater budget certainty as attend are for next fiscal year. Resubmitted on March 7, 2017.
Richard Jahn	Burnaby - Kingway	Protecting the Games - Fees	23-Sep-16	23-Sep-16	23-Sep-16	08-Mar-17	John advised he supports the request but asked we hold for now and request again once we have greater budget certainty as attend are for next fiscal year. Resubmitted on March 7, 2017.
Rob Stewart	Burnaby - Kingway	Organized Crime Seminar - Robbyn Seamus Vargisville	23-Sep-16	26-Sep-16	26-Sep-16		Approved Oct 19 2016 - emailed Rob to advise  This was approved but they have been back to the hold. They have more than adequate funding. Maureen has sent an email to the executive director. Complete approval for the request has been completed for approval on 10/19/16. An email asking if John also approves. Waiting to hear back from MARETTSON to see if you are prepared approval on 10/19/16. Waiting to hear back from MARETTSON to see if you are prepared approval on 10/19/16. Waiting to hear back from MARETTSON to see if you are prepared approval on 10/19/16.
Karen Hansen	Kelowna	Certified Fraud Examiner - Online	15-Aug-16	15-Aug-16	15-Aug-16		Approved

This is Exhibit E referred to in the affidavit of Joseph Meilieur  
sworn before me, at Victoria BC  
this 17 day of September 2021  
  
A COMMISSIONER FOR TAKING AFFIDAVITS  
IN THE PROVINCE OF BRITISH COLUMBIA

Handwritten notes or markings, possibly including the number 22.





Compliance Training Requests 2017/2018

Green - Approved

Requestor	Office Location	Course	Submitted Date	Date Submitted to Courtney	Date Submitted to Exec Working Group	Date Sent to John for Approval	Date Sent to DM if Required	Outcome
Karen Hansen	Kelowna	Detecting and Deferring Conflicts of Interest	07-Feb-18					Anna was able to approve due to the low cost.
Karen Hansen	Kelowna	Fighting Fraud in the Government	07-Feb-18					Anna was able to approve due to the low cost.
Richard Akin	Kingsway	New Horizon's Conference in Van - Feb 13 - 15	11-Jan-18	Jan 11/18				Approved Jan 29/19 - NOTE the course was free as Richard used Anna's spot (free for ED's)
Norine, Sue Whittred, Chris Knight, Richard Akin, John Tvergyak	Multiple	New Horizon's Conference in Van - Feb 13 - 15		Submitted to Janelle December 1, 2017				A "wish-list" of 5 was sent to Janelle from Compliance (Sue Whittred, Norine, Chris Knight, Richard Akin, John Tvergyak) . If travel is an issue then a different list would be compiled. Unknown how many, if any will be approved. (see above)
Auditors		CPABC PD Flexi-Passport (pre-paid training)	?	?	29-Nov-17	29-Nov-17		Approved
Heather Samson, Earl Fiddler, Richard Akin	Burnaby	CAMLI Online course	?	?	29-Nov-17			Was NOT approved by Executive. Dave B stated that this would require a contract for services, should not be direct awarded, need to procure the contract properly, is this a for-profit company providing the service etc. Also is this certification a term of employment? Tabled for more info.
Phil Montgomery	Burnaby	Justice Institute - Introduction to Criminal Law	27-Nov-17	27-Nov-17	2017-11-29			Approved
Anna Fitzgerald	Burnaby	CAGRA	06-Sep-17	06-Sep-17	(approved)	29-Nov-17		Approved
Bressette Joskowitz/Woods/Morison/Northey/Brown/Russell	Prince George	CAMLI (Money Laundering in Canada Forum 15th Annual)	29-Aug-17					Approved
	Burnaby - Kingsway	Racing Officials Accreditation Program (ROAP)	23-Jul-17	24-Jul-17	10-Aug-17		N/A	Approved
Dallas Smithson	Burnaby - Dominion	CPA: Advanced Financial Statement	27-Jun-17	27-Jun-17	N/A		28-Jun-17	Approved
Raymond Chan	Burnaby - Dominion	CPA: Advanced Financial Statement	27-Jun-17	27-Jun-17	N/A		28-Jun-17	Approved
Lynn Li	Burnaby - Dominion	Analysys	27-Jun-17	27-Jun-17	N/A		28-Jun-17	Approved
		Looking Beyond C&E Training Session	21-Jun-17					Approved

This is Exhibit  
 referred to in the  
 Affidavit of  
 Joseph Mitchell  
 filed in the  
 BC  
 Supreme Court  
 on 20/21  
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Terry Jacob	Burnaby - Dominion	Looking Beyond C&E Training Session	20-Jun-17					Approved
Nick Tan	Burnaby - Dominion	Looking Beyond C&E Training Session	19-Jun-17					Approved
Raymond Chan	Burnaby - Dominion	Looking Beyond C&E Training Session	19-Jun-17					Approved
Scott McGregor	Burnaby - Kingsway	IIC - Tobacco Workship	12-Jun-17	12-Jun-17	N/A	28-Jun-17		Approved
Richard Akin	Burnaby - Kingsway	IIC - Tobacco Workship	12-Jun-17	12-Jun-17	N/A	12-Jun-17		Approved
Kim Serheniuk	JIGIT	IIC - Tobacco Workship	12-Jun-17	12-Jun-17	N/A	12-Jun-17		Approved
Tim Storms	Burnaby - Dominion	CPA - Advanced Financial Reporting	31-May-17	01-Jun-17	N/A	01-Jun-17		Approved
Sandy Fraser Cowan	Victoria HQ	Victoria Professional Development Day	19-May-17	19-May-17				Approved
Dallas Smithson	Burnaby - Dominion	Canadian Gaming Summit 2017	16-May-17	16-May-17	N/A	16-May-17		Approved
Doug Mayer	Burnaby - Dominion	Canadian Gaming Summit 2017	16-May-17	16-May-17	N/A	16-May-17		Approved
Scott McGregor	Burnaby - Kingsway	FinTech2017 - 3rd Annual FinTech Confere	02-May-17	02-May-17	02-May-17	04-May-17		Approved
Dallas Smithson	Burnaby - Dominion	Cybersecurity in an unsecure world	06-Apr-17	07-Apr-17				Approved (see email from Anna)
Nick Tan	Burnaby - Dominion	Cybersecurity in an unsecure world	06-Apr-17	07-Apr-17				Approved (see email from Anna)
Raymond Chan	Burnaby - Dominion	Cybersecurity in an unsecure world	06-Apr-17	07-Apr-17				Approved (see email from Anna)
Sue Whittred	Burnaby - Dominion	Risk-based Auditing	06-Apr-17	07-Apr-17				Approved (see email from Anna)
Marian Grecu	Burnaby - Dominion	CIA Part 1	04-Apr-17	04-Apr-17	04-Apr-17	05-Apr-17		Approved
		Audit and Assurance - Includes 2017-18						
Tim Storms	Burnaby - Dominion	Student Fees	04-Apr-17	04-Apr-17	04-Apr-17	05-Apr-17		Approved

## Gaming Policy and Enforcement Branch Conference and Training 2017/2018

Division:	Conference/Training	Proposed Attendees	Location:	Cost
<b>Compliance</b>	<b>CAGRA 2017</b> Date: September 2017	ED Compliance Division and Director Toland	Prince Edward island	
	<b>Canadian Gaming Summit</b> Date: June 19 <sup>th</sup> -21, 2017	Senior Director Anna Fitzgerald, Regional Manager Chris Knight, Manager Ken Ackles	Vancouver, BC	
	<b>Law Enforcement Intelligence Units (AGM)</b> Date: May 1 <sup>st</sup> -5 <sup>th</sup> 2017	Manager Bob Stewart, Scott McGregor	Minnesota	
	<b>NAGRA Training and Education Conference</b> Date: June 2017	ED Compliance Division or Manager JIGIT Ken Ackles	Florida	
	<b>ACAMS AML Conference</b> Date: June 2017	Manager Ken Ackles (JIGIT) and RCMP Commander JIGIT	New York	
	<b>CAMLI</b> Date: Oct 2017	ED Compliance, Regional Managers Skrine and Werner	Victoria, BC	
<b>LRC</b>	<b>G2E - Global Gaming Exhibition</b> Oct 2-5 <sup>th</sup> 2017	One attendee to be determined	Las Vegas	
	<b>GLI Roundtable</b> Date: March 2018	One attendee to be determined	Las Vegas	
	<b>Canadian Gaming Summit</b> Date: June 19-21 2017	Two Attendees to be determined	Vancouver, BC	

This is Exhibit \_\_\_\_\_ referred to in the  
affidavit of Joseph McAllister  
sworn before me, at Victoria, BC  
this 17 day of September 2017  
A COMMISSIONER FOR TAKING AFFIDAVITS  
IN THE PROVINCE OF BRITISH COLUMBIA

May 3<sup>rd</sup> 2017

Division:	Conference/Training	Proposed Attendees	Location:	Cost:
SPPD	<b>CAGRA 2017</b> Date: Sept 18-21, 2017	ED SPPD – Michele chair the new CAGRA Policy Committee Jillian Hazel- Director, Policy and Communications	Prince Edward island	
	<b>Canadian Gaming Summit</b> Date: June 19 <sup>th</sup> -21 <sup>st</sup> , 2017	Jeff Henderson, Senior Policy Analyst Jillian Hazel- Director, Policy and Communications Michele Jaggi-Smith, ED- SPPD Rachel DeMott. -Senior Policy Analyst	Vancouver, BC	ED- Registration is paid for- Guest Speaker
	<b>G2E – Global Gaming Exhibition</b> Oct 2-5 <sup>th</sup> 2017	Michele Jaggi-Smith, ED- SPPD Jillian Hazel- Director, Policy and Communications	Las Vegas	
Community Support Division	<b>Discovery Conference</b> April/May 2018	ED CSD- David Horricks Directors(3) *pending transition from Contracted status	Toronto	Usually attached to Symposium so minimal costs
	<b>CAGRA 2017</b> - Date: September 2017	ED CSD – David Horricks	P.E.I.	
	<b>AGRI Research conference</b> April 2018	ED-CSD David Horricks Clinical Director – M. Koo *pending transition from contracted status	Banff	2 nights/ 3 per diems Airfare.
	<b>NCRG Conference on Gambling Addiction:</b> October 1-2 2017	ED David Horricks Clinical Director - *pending transition from contracted status	Las Vegas	2 nights/3 per diems + travel

**From:** [Meilleur, Len FIN:EX](#)  
**To:** [Poirier, Courtney E FIN:EX](#)  
**Cc:** [Kendall, Janelle FIN:EX](#); [Seabrook, Norine FIN:EX](#); [Vear, Maureen FIN:EX](#); [GPEB Executive](#)  
**Subject:** GPEB Training Compliance Updates  
**Date:** Wednesday, September 6, 2017 7:36:27 AM  
**Attachments:** [GPEB Training Compliance Updates.docx](#)

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Courtenay

Here is our division requests and broken down as requested by ADM as pp cost and overall cost with the specific rationale and priority. This can now be blended with your Master document for the upcoming Executive meeting which I suggest you attend to capture decisions or necessary changes. It is my assumption that all Executive leaders will have submitted their information to you and the meeting is for us to set out a wish list for John to support and then advise the DAG. Maureen can forward you the invite.

Len




GPEB Trainings, Meetings and Travel Costs									
Trainings and meetings	Date	Location	Training Cost	Travel/ Accommodation Cost (Estimated)	Cost Per person	Total Cost	Division	2017/18 Proposed Attendees (In Order of Importance)	Rationale
Canadian Anti-Money Laundering Institute meeting (CAMLI)	Sept 11-13th 2017	Victoria, BC	\$2,274	\$1,005	\$3,279	\$3,279	Compliance	<ul style="list-style-type: none"> <li>John Bressette</li> </ul>	<ul style="list-style-type: none"> <li>Local in BC, AML is a branch and ministry priority. When the Minister was briefed, without our presence, BCLC is identified as the voice of AML. GPEB needs to be at the AML table where possible.</li> </ul>
Canadian Association of Gaming Regulatory Agencies meeting (CAGRA)	Sept 18-22 2017	Prince Edward Island	\$400.00	\$1,350.00	\$1,750.00	\$5,250.00	SPPD	<ul style="list-style-type: none"> <li>Michele Jaggi-Smith, ED SPPD</li> </ul>	<ul style="list-style-type: none"> <li>This is the primary group for executive and other leaders to discuss mutual regulatory and compliance issues, policy issues etc. This is Canadian content and has been deemed an important aspect for building stakeholders and accessing data.</li> </ul>
							LRC	<ul style="list-style-type: none"> <li>Kim Bruce, ED LRC,</li> </ul>	
National Center for Responsible Gambling (NCRG) Meeting on Gambling and Addiction	Oct 1-2 2017	Las Vegas	\$496	\$900.00	\$1,396.00	\$2,792.00	Community Supports	<ul style="list-style-type: none"> <li>David Horricks, ED, CSD- Clinical Director</li> <li>*Pending transition from contracted status</li> </ul>	
							LRC	<ul style="list-style-type: none"> <li>David Nicholson, A/Director, Certification and Gaming Integrity</li> </ul>	
Global Gaming Exhibition (G2E)	Oct 2-5 2017	Las Vegas	\$2,600.00	\$2,033.00	\$4,633.00	\$13,899.00	LRC	<ul style="list-style-type: none"> <li>David Nicholson, A/Director, Certification and Gaming Integrity</li> </ul>	


							SPPD	<ul style="list-style-type: none"> <li>Michele Jaggi-Smith, ED SPPD</li> <li>Jillian Hazel - Director, SPPD</li> </ul>	
POLCYB quarterly Meeting Workshop	Oct 13 2017	Van, BC	\$193.75	N/A	\$193.75	\$387.50	Compliance	<ul style="list-style-type: none"> <li>Scott McGregor</li> <li>Heather Samson</li> </ul>	
<b>Trainings and meetings</b>	<b>Date</b>	<b>Location</b>	<b>Training Cost</b>	<b>Travel/ Accommodation Cost (Estimated)</b>	<b>Cost Per person</b>	<b>Total Cost</b>	<b>Division</b>	<b>2017/18 Proposed Attendees (In Order of Importance)</b>	<b>Rationale</b>
National Gambling Intelligence Sharing Group (NGISG) Semi-annual Meeting	Oct 16-19 2017	Cleveland, Ohio	TBD	\$1,777.00	\$1,777.00	\$3,554	Compliance	<ul style="list-style-type: none"> <li>Scott McGregor, Intelligence Analyst, Intelligence Unit and Investigations</li> <li>Bob Stewart, Manager Intelligence and Investigations</li> </ul>	<ul style="list-style-type: none"> <li>Open to Management and Analysts</li> <li>Over 50 Gaming Regulators and Enforcement agencies across North America.</li> <li>Continue networking that has proven to successfully advance the goals and reputation of the unit.</li> <li>Key to the development of the GPEB brand</li> <li>Intelligence sharing opportunity related to common issues affecting gaming in BC</li> <li>Face to face networking is exponentially more effective</li> <li>Relevant to current AML strategy</li> </ul>
UNLV Casino Auditing for Gaming Regulators	Nov 14, 2017 & Feb 6, 2018	Las Vegas	\$1,300 USD	\$3,000.00	\$4,300.00	\$12,900	Compliance	<ul style="list-style-type: none"> <li>Parm Basi, Gambling Auditor</li> <li>Sue Whittred, Gambling Auditor</li> <li>Bojan Nikolic, Gambling Auditor</li> </ul>	<ul style="list-style-type: none"> <li>This is the only audit specific course for both auditing Casinos and being a Regulator available.</li> <li>We have not sent auditors to any formal gambling or regulator courses in many years.</li> <li>We would like to send one or two of our experienced auditors to the course to determine if there is any</li> </ul>

									value in sending additional auditors to it in the future. They would then report out.
UNLV Protecting the Games	Nov 2017 and Jan 2018	Las Vegas	\$500.00 USD	\$3,200.00	\$3,700.00	Nov- \$25,900 Jan - \$25,900  Total: \$51,800	Compliance	<ul style="list-style-type: none"> <li>• Richard Akin, Investigator,</li> <li>• Laurin Stenerson,, Investigator,</li> <li>• Heather Samson, Investigator</li> <li>• 2 new hire investigator positions from Kelowna</li> <li>• 1 new hire investigator position from Victoria</li> <li>• 1 staff determined by Director, Certification and Game Integrity (currently David Nicholson</li> <li>• Philip Montgomery, Investigator, Compliance Div Interior Team</li> <li>• John Tvergyak, Investigator, Compliance Div Interior Team</li> <li>• Robert Pilot, Investigator, Compliance Div – Vancouver Island Regional Office</li> <li>• JIGIT New Hires (Ed Hipsz, Tom Caverly)</li> <li>• Richard Peck (Horse Racing)</li> </ul>	<ul style="list-style-type: none"> <li>• This is a requirement of duty in this position to understand the games and the investigative techniques.</li> <li>• Considered the basic training for a competent regulatory gaming investigator.</li> <li>• Send 3-4 candidates per session, if feasible.</li> </ul>
Privacy and Security Training	Feb 2018	Victoria, BC	\$0.00	\$0.00	\$0.00	\$0.00	LRC	<ul style="list-style-type: none"> <li>• David Nicholson, A/Director, Certification and Gaming Integrity</li> <li>• Kim Bruce, ED LRC</li> </ul>	



Trainings and meetings	Date	Location	Training Cost	Travel/ Accommodation Cost (Estimated)	Cost Per person	Total Cost	Division	2017/18 Proposed Attendees	Rationale
Gaming Laboratories International Roundtable Training/Presentation (GLI)	March 2018	Las Vegas	\$940.00	\$2,033.00	\$2,973.00	\$2,973.00	LRC	<ul style="list-style-type: none"> <li>David Nicholson, A/Director, Certification and Gaming Integrity</li> </ul>	
Alberta Gambling Research Institute Meeting (AGRI)	April 2018	Banff	\$400.00	\$900.00	\$1,300.00	\$5,200.00	Community Supports	<ul style="list-style-type: none"> <li>David Horricks, ED, CSD,</li> <li>3 Director positions - will be filled as of December 2017</li> <li>*Pending transition from contracted status</li> </ul>	
Discovery Training	April/ May 2018	Toronto	\$650.00	\$500.00	\$1,150.00	\$4,600.00	Community Supports	<ul style="list-style-type: none"> <li>David Horricks, ED, CSD, 3 Director positions - will be filled as of December 2017</li> <li>*Pending transition from contracted status</li> </ul>	
ARCI Annual Conference and Meetings	TBD	Hot Springs, Arkansas	\$2000	Included in registration	\$2,000.00	2,000.00	Compliance	<ul style="list-style-type: none"> <li>Michael Brown, Director, Racing</li> </ul>	<ul style="list-style-type: none"> <li>This event is sponsored by Association of Racing Commissioners International (ARCI) to which BC is a dues paying member. The direction the industry takes is discussed at these meetings so that all jurisdictions are on the same page. Priority -- medium. It is</li> </ul>

									to the benefit of the industry if we are a part of the discussion and can have a voice in laying out new rule recommendations, etc.
Race Track Industry Program's Annual Symposium on Racing	TBD	Tucson, Arizona	\$2000	Included in registration	\$2,000.00	\$2,000.00	Compliance	<ul style="list-style-type: none"> <li>Michael Brown, Director, Racing</li> </ul>	<ul style="list-style-type: none"> <li>This event is sponsored by Association of Racing Commissioners International (ARCI) to which BC is a dues paying member. The direction the industry takes is discussed at these meetings so that all jurisdictions are on the same page. Priority – medium, it is to the benefit of the industry if we are a part of the discussion and can have a voice in laying out new rule recommendations, etc.</li> </ul>
<b>Trainings and meetings</b>	<b>Date</b>	<b>Location</b>	<b>Training Cost</b>	<b>Travel/ Accommodation Cost (Estimated)</b>	<b>Cost Per person</b>	<b>Total Cost</b>	<b>Division</b>	<b>2017/18 Proposed Attendees</b>	<b>Rationale</b>
Respectful Workplace	Nov/ Dec 2017	Victoria	TBD	TBD	TBD	TBD	ALL GPEB	(Executives have identified a need for respectful workplace training).	
OMNI Seminar, " Financial Background Investigation Techniques"	Jan 2018	Victoria	3 day 9,971 USD  Canada Bud; Day Presenta	N/A	\$664.73	\$9,971.00 (Based on 15 people)	Corporate Compliance	<ul style="list-style-type: none"> <li>All Corporate Registration Staff Open to Commercial Audit - would be useful for Compliance staff and executive team</li> <li>Karen Roberts</li> <li>Marian Grecu, Gambling</li> </ul>	<ul style="list-style-type: none"> <li>Newer commercial auditor has been seconded for related special projects assisting Corporate registration, financial integrity reviews, and is the lone commercial auditor North of Kelowna</li> </ul>

								<ul style="list-style-type: none"> <li>Auditor</li> <li>Lynn Li, Gambling Auditor</li> <li>Dallas Smithson, Gambling Auditor</li> <li>Tim Storms, Gambling Auditor</li> <li>Raymond Chan</li> <li>Doug Mayer</li> <li>Anna Fitzgerald</li> </ul>	
Compliance and Enforcement (C & E) "Administrative Law Course"	TBD	Victoria	TBD	TBD	TBD	TBD	Compliance	<ul style="list-style-type: none"> <li>John Bressette</li> </ul>	<ul style="list-style-type: none"> <li>Junior investigator has the intention of a career in GPEB / Government and would be best served by this training for both present and future development</li> </ul>
<b>Trainings and meetings</b>	Date	Location	Training Cost	Travel/ Accommodation Cost (Estimated)	Cost Per person	Total Cost	Division	2017/18 Proposed Attendees	Rationale
Compliance and Enforcement (C & E) "C&E Professional Report Writing Course"	TBD	Victoria	free	TBD	TBD	TBD	Compliance	<ul style="list-style-type: none"> <li>John Bressette</li> </ul>	<ul style="list-style-type: none"> <li>Junior investigator would benefit from this most commonly used method of inter office and inter agency information sharing</li> </ul>
Compliance and Enforcement (C & E) "Customer Service In the C&E Sector Course"	TBD	Victoria	free	TBD	TBD	TBD	TBD	<ul style="list-style-type: none"> <li>TBD</li> </ul>	

Compliance and Enforcement Regulatory Training Session (TBD) Approved in Principle by Executives	TBD	Burnaby	\$16,000	TBD	TBD	TBD	Compliance	<ul style="list-style-type: none"> <li>John Bressette</li> <li>Chris Knight</li> <li>Mike Stevenson</li> <li>Additional names to be announced.</li> </ul>	<ul style="list-style-type: none"> <li>Essential across the board for all investigators in order to standardize practices, maximize the use of outside resources, and bring credibility to the GPEB positions. Critical in the establishment of GPEB investigators as subject matter references or experts in the eyes of outside agencies and law courts</li> </ul>
Justice Institute of British Columbia "Introduction to Criminal Law"	TBD	New West, BC	3 day course - \$615.00 admin	TBD	\$615.00	TBD	Compliance	<ul style="list-style-type: none"> <li>John Tvergyak, Investigator, Compliance Division – Interior Team</li> </ul>	<ul style="list-style-type: none"> <li>Required training for SPC appointment</li> </ul>
<b>Trainings and meetings</b>	<b>Date</b>	<b>Location</b>	<b>Training Cost</b>	<b>Travel/ Accommodation Cost (Estimated)</b>	<b>Cost Per person</b>	<b>Total Cost</b>	<b>Division</b>	<b>2017/18 Proposed Attendees</b>	<b>Rationale</b>
Justice Institute of British Columbia "Introduction to Administrative Law"	TBD	New West, BC	3 day course - \$615.00	N/A	N/A	\$615.00	Compliance	<ul style="list-style-type: none"> <li>Philip Montgomery, Investigator, Compliance Division – Interior Team</li> </ul>	<ul style="list-style-type: none"> <li>Required training for SPC appointment</li> </ul>
Canadian Anti-Money Laundering Institute (CAMLI) Certification	TBD	Self-study	Full Price: \$729.75 Member Price: \$519.75	TBD	TBD	TBD	Compliance	<ul style="list-style-type: none"> <li>Ed Hipsz, Investigator-JIGIT</li> <li>Rich Akin, Lead Investigator</li> <li>Parminder Basi, Gambling Auditor</li> <li>Scott McGregor, Intelligence Analyst</li> <li>Kim Serheniuk, Investigator-JIGIT</li> <li>Sue Whittred, Gambling Auditor</li> </ul>	<ul style="list-style-type: none"> <li>This is the Canadian AML Certification Program (CDN version of ACAMS). It will allow us to gain a comprehensive foundation in AML using Canadian content (FINTRAC vs FINCEN), and allows us to obtain industry recognized accreditation.</li> <li>All BCLC Investigators and Compliance Officers were required to</li> </ul>

									<ul style="list-style-type: none"> <li>• Heather Samson, Investigator</li> <li>• Jim Fiddler, Investigator</li> <li>• Albert Philip, Investigator</li> <li>• Thomas Caverly, Investigator-JIGIT</li> <li>• Nicholas Tan, Gambling Auditor</li> <li>• Marian Grecu, Gambling Auditor</li> <li>• Tim Storms, Gambling Auditor</li> <li>• Douglas Mayer, Manager of Audit</li> </ul>	<ul style="list-style-type: none"> <li>• obtain ACAMS certification.</li> <li>• Obtaining CAMLI certification will allow us to receive formal AML training and allow us to be on equal qualifications with BCLC staff.</li> </ul>
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Previous Trainings and Meetings									
Trainings and meetings	Date	Location	Training Cost	Travel/ Accommodation Cost (Estimated)	Cost Per person	Total Cost	Division	2017/18 Proposed Attendees	Rationale
Law Enforcement Intelligence Units, Annual general Meeting (AGM)	May 1st-5th 2017	Minnesota	\$650.00 USD	\$1,000 USD	\$1,650.00	\$1,650.00	Compliance	<ul style="list-style-type: none"> <li>• Bob Stewart, Manager, Intelligence Unit, GPEB - Audit,</li> </ul>	
North American Gaming Regulators Association (NAGRA) Training and Education meeting	June 6-8 2017	Florida	\$845 USD	\$800.00 USD	\$1,645.00	\$1,645.00	Compliance	<ul style="list-style-type: none"> <li>• Ken Ackles, Manager of JIGIT</li> </ul>	
Association of Certified Anti-Money Laundering Specialists (ACAMS AML) meeting	June 9 <sup>th</sup> 2017	New York	\$1,900 USD	N/A	TBD	TBD	Compliance	<ul style="list-style-type: none"> <li>• Ken Ackles, Manager of JIGIT</li> </ul>	

Canadian Gaming Summit Presentation	June 19th-21, 2017	Vancouver BC	\$ 999.00	\$1,000.00	\$1,999.00	\$11,994.00	Compliance  Steve Lefler, Only attending 1 day: \$550.00  \$12,544.00	<ul style="list-style-type: none"> <li>• Doug Mayer, Manager of Audit</li> <li>• Dallas Smithson, Auditor, Charitable</li> <li>• Karen Hanson, Charitable Gaming Auditor</li> <li>• Ken Ackles, Manager of JIGIT</li> <li>• Bob Stewart, Manager, Intelligence Unit, GPEB - Audit,</li> <li>• Thomas Caverly, Investigator-JIGIT</li> </ul>	
Canadian Gaming summit presentation Continued							LRC	<ul style="list-style-type: none"> <li>• Steve Lefler, Director, Certification and Game Integrity (Award recipient) (Only attended for a once day cost)</li> </ul>	
							Presenters -No cost SPPD	<ul style="list-style-type: none"> <li>• Michele Jaggi-Smith, ED-SPPD</li> </ul>	
							Presenters -No cost LRC	<ul style="list-style-type: none"> <li>• Robin Jomha, Director, Corporate Registration</li> </ul>	
							Presenters - No cost Compliance	<ul style="list-style-type: none"> <li>• Michael Brown, Director, Racing</li> </ul>	
Looking Beyond: C&E Training Sessions	June 26th-28th 2017	Chilliwack, BC	\$277.20.	\$277.20	277.20	\$1,940.40	Compliance	<ul style="list-style-type: none"> <li>• Tanya Scaiano, Auditor, Charitable</li> <li>• Nicholas Tan, Commercial Gaming Auditor</li> <li>• Ed Hipsz, Investigator</li> <li>• Thomas Caverly, Investigator-JIGIT</li> <li>• Lynn li, Commercial Gaming</li> </ul>	

								<ul style="list-style-type: none"> <li>Auditor</li> <li>• Raymond Chan, Commercial Auditor</li> <li>• Terry Jacob, Commercial Gaming Auditor</li> </ul>	
Gaming Laboratories International Presentation (GLI) Training/ Presentation	June 29 2017	Victoria, 910 Gov St.	\$1,300.00 (Flat rate)	N/A	N/A	\$1,300.00	Community Supports	<ul style="list-style-type: none"> <li>• David Horricks, ED CSD</li> </ul>	
							LRC	<ul style="list-style-type: none"> <li>• Robin Jomha, Director, Corporate Registration,</li> <li>• David Nicholson. TA/ Director, Certification and Game Integrity</li> <li>• Kim Bruce, ED LRC</li> </ul>	
							SPPD	<ul style="list-style-type: none"> <li>• Jeff Henderson, Senior Policy Analyst</li> <li>• Rachel DeMott , Senior Policy Analyst</li> <li>• Quinn Yu, Policy Analyst</li> <li>• Michele Jaggi-Smith, ED SPPD</li> <li>• Katie Harper, Policy Analyst</li> <li>• Jillian Hazel, Director, Policy and Communications,</li> </ul>	

GPEB TRAINING AND MEETINGS						
External Training and Meetings	Location:	Estimated Cost: (Based on Individual person)	2017/18 Proposed Attendees: (In order of importance)	2016/17 Attendees	Priority	Rationale
Canadian Anti-Money Laundering Institute meeting (CAML) Date: September 11 <sup>th</sup> 2017	Victoria, BC	• Registration \$675.00	<ul style="list-style-type: none"> <li>Ken Ackles, Manager of IIGIT</li> <li>Scott McGregor, Officer, Intelligence Unit, GPEB</li> <li>John Bressette</li> </ul>	N/A	HIGH	Local in BC, AML is a branch and ministry priority. As the Minister was briefing without our presence BCLC is the voice of AML
National Gambling Intelligence Sharing Group (NGISG) Semi-annual Meeting Date: October 16-19, 2017	Cleveland, OH	Travel Expenses: \$1,777.00	<ul style="list-style-type: none"> <li>Scott McGregor, Intelligence Analyst, Intelligence Unit and Investigations</li> <li>Bob Stewart, Manager Intelligence and Investigations</li> </ul>	N/A	High	<ul style="list-style-type: none"> <li>Open to Management and Analysts</li> <li>Over 50 Gaming Regulators and Enforcement agencies across North America.</li> <li>Continue networking that has proven to successfully advance the goals and reputation of the unit.</li> <li>Key to the development of the GPEB brand</li> <li>Intelligence sharing opportunity realted to common issues affecting gaming in BC</li> <li>Face to face networking is exponentially more effective</li> </ul>
Canadian Anti-Money Laundering Institute (CAML) Certification	Self Study	<ul style="list-style-type: none"> <li>Registration</li> <li>Full Price: \$729.75</li> <li>Member Price: \$519.75</li> </ul>	<ul style="list-style-type: none"> <li>Ed Hipsz, Investigator-IIGIT</li> <li>Rich Akin, Lead Investigator</li> <li>Parminder Basi, Gambling Auditor</li> <li>Scott McGregor, Intelligence Analyst</li> <li>Kim Serheniuk, Investigator-IIGIT</li> <li>Sue Whitted, Gambling Auditor</li> <li>Heather Samson, Investigator</li> <li>Jim Fiddler, Investigator</li> <li>Albert Philip, Investigator</li> <li>Thomas Caverly, Investigator-IIGIT</li> </ul>	N/A	High	<ul style="list-style-type: none"> <li>This is the Canadian AML Certification Program (CDN version of ACAMS). It will allow us to gain a comprehensive foundation in AML using Canadian content (FINTRAC vs FINGEN), and allows us to obtain</li> </ul>

A COMMISSIONER FOR TAKING AFFIDAVITS IN THE PROVINCE OF BRITISH COLUMBIA  
 I am a Exhibit referred to in the sworn declaration of Joseph McAllister this 11 day of September 2017



			<ul style="list-style-type: none"> <li>- Nicholas Tan, Gambling Auditor</li> <li>- Marian Grecu, Gambling Auditor</li> <li>- Tim Storms, Gambling Auditor</li> <li>- Douglas Mayer, Manager of Audit</li> </ul>			<p>industry recognized accreditation.</p> <ul style="list-style-type: none"> <li>• All BCLC Investigators and Compliance Officers were required to obtain ACAMS certification, obtaining CAMLI certification will allow us to receive formal AML training and allow us to be on equal qualifications with BCLC staff.</li> </ul>
<p>UNLV Protecting the Games Date: November 2017 &amp; January 2018</p>	Las Vegas	<ul style="list-style-type: none"> <li>• Approx. \$2500 - \$3200</li> <li>• Registration \$500.00 USD</li> </ul>	<ul style="list-style-type: none"> <li>• Richard Akin, Investigator, Compliance</li> <li>• Heather Samson, Investigator, Compliance</li> <li>• Philip Montgomery, Investigator, Compliance Div Interior Team</li> <li>• John Tvergyak, Investigator, Compliance Div Interior Team</li> <li>• Robert Pilot, Investigator, Compliance Div - Vancouver Island Regional Office</li> <li>• 1 staff determined by Director, Certification and Game Integrity (currently David Nicholson - Nov. 2017)</li> <li>• 1 staff determined by Director, Certification and Game Integrity (currently David Nicholson - Jan 2018)</li> <li>• JIGIT New Hires (Ed Hipsz, Tom Caverly)</li> <li>• Richard Peck</li> </ul>	<ul style="list-style-type: none"> <li>• Cary Skrine, Regional Director, Compliance</li> <li>• John Bressette, Investigator, Compliance</li> <li>• Scott McGregor, Officer, Intelligence Unit, GPEB</li> </ul>	High	<ul style="list-style-type: none"> <li>• This is a requirement of duty in this position to understand the games and the investigative techniques.                             <ul style="list-style-type: none"> <li>• Send 3-4 candidates per session.</li> </ul> </li> </ul>
<p>Canadian Association of Gaming Regulatory Agencies meeting (CAGRA) 2017 Date: September 2017</p>	Prince Edward Island	<ul style="list-style-type: none"> <li>• Registration \$ 400.00</li> <li>• Travel/ related expenses \$1,350.00</li> </ul>	<ul style="list-style-type: none"> <li>• David Horricks, ED CSD</li> <li>• ED Compliance division</li> <li>• Pat Toland, A/Director Licensing Division</li> <li>• Michele Jaggi-Smith, ED SPPD (Chair the new CAGRA Policy Committee)</li> <li>• Jillian Hazel, Director, Policy and Communications</li> <li>• Kim Bruce, ED, LRC</li> </ul>	<ul style="list-style-type: none"> <li>• John Mazure-ADM- GPEB</li> <li>• Michele Jaggi-Smith, ED- SPPD</li> <li>• Len Meilleur -ED Compliance Division</li> </ul>	HIGH	<p>This is the primary group for executive and other leaders to discuss mutual regulatory and compliance issue, policy issues etc. This is Canadian content and has been deemed an important aspect for building stakeholders and accessing data.</p>
<p>Race Trak Industry Program's Annual Symposium on Racing</p>	Tucson, Arizona	<ul style="list-style-type: none"> <li>• Estimated cost would be \$2000</li> </ul>	<ul style="list-style-type: none"> <li>• Michael Brown, Director, Racing</li> </ul>			<ul style="list-style-type: none"> <li>• This event is sponsored by Association of Racing.</li> </ul>

						<p>Commissioners International (ARCI) to which BC is a dues paying member. The direction the industry takes is discussed at these meetings so that all jurisdictions are on the same page. Priority – medium. It is to the benefit of the industry if we are a part of the discussion and can have a voice in laying out new rule recommendations, etc.</p>
<p>ARCI Annual Conference and Meetings</p>	<p>Hot Springs, Arkansas</p>	<ul style="list-style-type: none"><li>• Estimated cost \$2000</li></ul>	<ul style="list-style-type: none"><li>• Michael Brown, Director, Racing</li></ul>	<ul style="list-style-type: none"><li>•</li></ul>	<ul style="list-style-type: none"><li>•</li></ul>	<ul style="list-style-type: none"><li>• Same as above</li></ul>

<p>UNLV Casino Auditing for Gaming Regulators</p> <p>Date: November 14, 2017 &amp; February 6, 2018</p>	<p>Las Vegas</p>	<ul style="list-style-type: none"> <li>• APPROX \$2500-\$3000</li> <li>• Registration \$1300 USD</li> </ul>	<ul style="list-style-type: none"> <li>• Parm Basi, Gambling Auditor</li> <li>• Sue Whittred, Gambling Auditor</li> <li>• Bojan Nikolic, Gambling Auditor</li> </ul>	<p>N/A</p>	<p>Medium</p>	<ul style="list-style-type: none"> <li>• This is the only audit specific course for both auditing Casino's and being a Regulator available.</li> <li>• We have not sent auditors to any formal gambling or regulator courses in many years.</li> <li>• We would like to send a couple veteran auditors to the course to determine if there is any value in sending additional auditors to it in the future.</li> </ul>
<p>POLCYB quarterly Meeting Workshop</p> <p>Date: October 13, 2017</p>	<p>Vancouver</p>	<ul style="list-style-type: none"> <li>• Registration \$193.75 per person</li> </ul>	<ul style="list-style-type: none"> <li>• Scott McGregor</li> <li>• Heather Samson</li> </ul>	<p>N/A</p>		
<p>Looking Beyond: C&amp;E Training Sessions(Past)</p> <p>Date: June 26<sup>th</sup>-28<sup>th</sup> 2017</p>	<p>Chilliwack, BC</p>	<ul style="list-style-type: none"> <li>• Registration /Travel/ Related expenses \$ 277.2                             <ul style="list-style-type: none"> <li>➢ Includes accommodation and meals.</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Tanya Scaiano, Auditor, Charitable</li> <li>• Nicholas Tan, Commercial Gaming Auditor</li> <li>• Ed Hipsz, Investigator</li> <li>• Thomas Caverly, Investigator-JIGIT</li> <li>• Lynn li, Commercial Gaming Auditor</li> <li>• Raymond Chan, Commercial Auditor</li> <li>• Terry Jacob, Commercial Gaming Auditor</li> </ul>	<p>N/A</p>		
<p>National Center for Responsible Gambling (NCRG) Meeting on Gambling and Addiction</p> <p>Date: October 1-2, 2017</p>	<p>Las Vegas</p>	<ul style="list-style-type: none"> <li>• Flights/Accommodation: \$900.00</li> <li>• Registration: \$496</li> </ul>	<ul style="list-style-type: none"> <li>• David Horricks, ED, CSD</li> <li>• Clinical Director *Pending transition from contracted status</li> </ul>	<p>NA</p>		

<p>Global Gaming Exhibition (G2E)</p> <p>Date: Oct 2-5<sup>th</sup> 2017</p>	Las Vegas	<p><b>Travel expenses – \$1583.00</b></p> <ul style="list-style-type: none"> <li>• Air - \$450</li> <li>• Conference fees: \$2600.</li> <li><b>Total: \$4,633</b></li> </ul>	<ul style="list-style-type: none"> <li>• David Nicholson, Director, Certification and Game Integrity</li> <li>• Michele Jaggi-Smith, ED, SPPD</li> <li>• Jillian Hazel- Director, Policy and Communications</li> </ul>	<ul style="list-style-type: none"> <li>• Steve Lefler, Director, Certification and Game Integrity</li> </ul>	High	•
<p>Gaming Laboratories International Roundtable Training/Presentation (GLI)</p> <p>Date: March 2018</p>	Las Vegas	<ul style="list-style-type: none"> <li>• <b>Registration: \$940.00</b></li> <li>• <b>Travel/ related expenses</b></li> </ul>	<ul style="list-style-type: none"> <li>• 1 staff determined by Director, Certification and Game Integrity (currently David Nicholson)</li> </ul>	<ul style="list-style-type: none"> <li>• Steve Lefler, Director, Certification and Game Integrity                             <ul style="list-style-type: none"> <li>• Tom Robertson, Compliance Kelowna</li> </ul> </li> </ul>	High	•
<p>Alberta Gambling Research Institute Meeting (AGRI)</p> <p>Date: April 2018</p>	Banff	<ul style="list-style-type: none"> <li>• <b>Car /Flights/Accommodation: \$900.00</b></li> <li>• <b>Registration: \$400.00</b></li> </ul>	<ul style="list-style-type: none"> <li>• David Horricks- ED CSD</li> <li>• Directors(3) *pending transition from Contracted status</li> </ul>	N/A		
<p>Discovery Training</p> <p>Date: April/May 2018</p>	Toronto	<ul style="list-style-type: none"> <li>• <b>Travel/accommodation and registration costs: \$1,300.00</b></li> </ul>	<ul style="list-style-type: none"> <li>• David Horricks, ED, CSD</li> <li>• Directors(3) *pending transition from Contracted status</li> </ul>	<ul style="list-style-type: none"> <li>• David Horricks- ED CSD</li> <li>• Kim Dunn- Program Manager – RPGP</li> <li>• Jeff Henderson, Senior Policy Analyst</li> </ul>	•	•
<p>Law Enforcement Intelligence Units, Annual general Meeting (AGM)</p> <p>Date: May 1<sup>st</sup>-5<sup>th</sup> 2017 (Past)</p>	Minnesota	<ul style="list-style-type: none"> <li>• <b>Registration: \$650.00 USD</b></li> <li>• <b>Travel/related expenses \$1,000.00 /estimated</b></li> </ul>	<ul style="list-style-type: none"> <li>• Bob Stewart, Manager, Intelligence Unit, GPEB - Audit,</li> </ul>	N/A		
<p>North American Gaming Regulators Association (NAGRA) Training and Education meeting</p> <p>Date: June 6-8 2017 (Past)</p>	Florida	<ul style="list-style-type: none"> <li>• <b>Registration: \$845 USD</b></li> <li>• <b>Travel/ related expenses \$800.00</b></li> </ul>	<ul style="list-style-type: none"> <li>• Ken Ackles , Manager of JIGIT</li> </ul>	N/A		
<p>Association of Certified Anti-Money Laundering Specialists (ACAMS AML) meeting</p>	New York	<ul style="list-style-type: none"> <li>• <b>Registration: \$1,900 USD</b></li> <li>• <b>Travel/ related expenses TBD</b></li> </ul>	<ul style="list-style-type: none"> <li>• Ken Ackles, Manager of JIGIT</li> </ul>	<ul style="list-style-type: none"> <li>• Anna Fitzgerald, Senior Director, Compliance Division</li> </ul>	•	•

Date: June 9th 2017(Past)				<ul style="list-style-type: none"> <li>Bob Stewart, Manager, Intelligence Unit, GPEB - Audit,</li> </ul>		
Canadian Gaming Summit Presentation Date: June 19 <sup>th</sup> -21, 2017 (Past)	Vancouver, BC	<ul style="list-style-type: none"> <li>Registration \$ 999.00 (Estimated)</li> <li>Travel/ related expenses: \$1,000.00 (Out of town attendees only)</li> <li>Steve Lefler. Only attending 1 day: \$550.00</li> </ul>	<ul style="list-style-type: none"> <li>Michael Brown, Director, Racing (Presenter, No cost)</li> <li>Steve Lefler, Director, Certification and Game Integrity (Award recipient)</li> <li>Michele Jaggi-Smith, ED- SPPD (Presenter, No cost)</li> <li>Robin Jomha, Director, Corporate Registration, (Presenter, No cost)</li> <li>Doug Mayer, Manager of Audit</li> <li>Dallas Smithson, Auditor, Charitable</li> <li>Karen Hanson, Charitable Gaming Auditor</li> <li>Ken Ackles, Manager of JIGIT</li> <li>Bob Stewart, Manager, Intelligence Unit, GPEB - Audit,</li> <li>Thomas Caverly, Investigator-JIGIT</li> </ul>	<ul style="list-style-type: none"> <li>Michele Jaggi-Smith, ED- SPPD</li> <li>Len Meilleur, ED Compliance Division,</li> </ul>	<ul style="list-style-type: none"> <li></li> </ul>	<ul style="list-style-type: none"> <li></li> </ul>
Privacy Security Training Date: February 2018	Victoria, BC	<ul style="list-style-type: none"> <li></li> </ul>	<ul style="list-style-type: none"> <li>Director, Certification and Game Integrity (currently David Nicholson)</li> <li>Kim Bruce, ED, LRC</li> </ul>	<ul style="list-style-type: none"> <li></li> </ul>	<ul style="list-style-type: none"> <li></li> </ul>	<ul style="list-style-type: none"> <li></li> </ul>
Internal (In House) Training/Meetings:	Location:	Estimated Cost: (Based on individual person)	2017/18 Proposed Attendees: (In order of importance)	2016/17 Attendees		
Gaming Laboratories International Presentation (GLI) Training/Presentation Date: June 29 2017 (Past)	Victoria, 910 Government St.	<ul style="list-style-type: none"> <li>This course is free</li> </ul>	<ul style="list-style-type: none"> <li>David Horricks, ED CSD</li> <li>Jeff Henderson, Senior Policy Analyst</li> <li>Rachel DeMott, Senior Policy Analyst</li> <li>Quinn Yu, Policy Analyst</li> <li>Michele Jaggi-Smith, ED SPPD</li> <li>Katie Harper, Policy Analyst</li> <li>Jillian Hazel, Director, Policy and Communications,</li> <li>Robin Jomha, Director, Corporate Registration,</li> <li>David Nicholson, TA/ Director, Certification and Game Integrity</li> <li>Kim Bruce, ED LRC</li> </ul>	N/A		

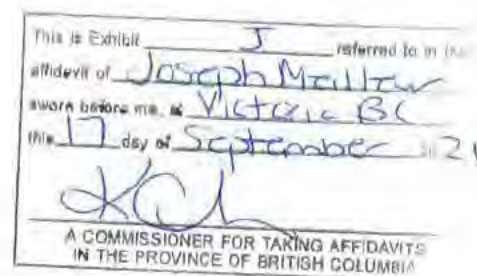
Compliance and Enforcement (C & E) "Administrative Law Course" Date: TBD	Victoria	<ul style="list-style-type: none"> <li>• <b>Registration:</b> Cost recovered (amount TBD)</li> </ul>	<ul style="list-style-type: none"> <li>• John Bressette</li> </ul>	N/A	High	Junior investigator has the intention of a career in GPEB / Government and would be best served by this training for both present and future development
Compliance and Enforcement (C & E) "C&E Professional Report Writing Course" Date: TBD	Victoria	<ul style="list-style-type: none"> <li>• <b>This course is free</b></li> </ul>	<ul style="list-style-type: none"> <li>• John Bressette</li> </ul>	N/A	High	Junior investigator would benefit from this most commonly used method of inter office and inter agency information sharing
Compliance and Enforcement (C & E) "Customer Service in the C&E Sector Course" Date: TBD	Victoria	<ul style="list-style-type: none"> <li>• <b>This course is free</b></li> </ul>	<ul style="list-style-type: none"> <li>• TBD</li> </ul>	N/A		
Compliance and Enforcement Regulatory Training Session (TBD) Approved in Principle by Executive	Burnaby	<ul style="list-style-type: none"> <li>• <b>\$16,000</b></li> </ul>	<ul style="list-style-type: none"> <li>• John Bressette</li> <li>• Chris Knight</li> <li>• Mike Stevenson</li> <li>• Additional names to be announced.</li> </ul>	N/A	High (in the order shown) This was approved by Exec on August 23 <sup>rd</sup> , 2017	Essential across the board for all investigators in order to standardize practices, maximize the use of outside resources, and bring credibility to the GPEB positions. Critical in the establishment of GPEB investigators as subject matter references or experts in the eyes of outside agencies and law courts
Training for CREG and Licensing – Directors have indicated need for training budget but no specific courses provided at this time		<ul style="list-style-type: none"> <li>•</li> </ul>				
Respectful Workplace Workshop Date: TBD	Victoria	<ul style="list-style-type: none"> <li>• <b>\$990 /day facilitation</b></li> <li>• <b>\$250/course for administration and materials</b></li> <li>• <b>Max 25 participants</b></li> </ul> <p><b>\$49.60/person at max of 25 participants.</b></p>	GPEB Branch staff (open) Licensing Unit Team (all members must attend including the Director - 14)	n/a	High	Due to recent incidents within the Licensing Team it is necessary to bring in and outside resource to deliver this workshop and develop an action plan for building a safe and respectful workplace environment.

<p><b>OMNI Seminar:</b> "Financial and Background Investigation Techniques"  Date: TBD</p>	<p>Victoria</p>	<ul style="list-style-type: none"> <li>• Course cost is normally <b>\$1200 USD per person plus travel costs to send to Nevada.</b></li> <li>• 3 day workshop quoted <b>\$9,971 USD - 664.73 per person at min of 15 participants</b></li> <li>• 5 day workshop quoted <b>\$12,744 USD - 849.60 per person at min of 15 participants</b></li> </ul> <p> Canada Budget - 3 5 Day Presentations.doc</p> <p> Canada - Agenda - Critical Thinking Leads</p>	<p>All Corporate Registration staff Open to commercial audit Would be useful for compliance staff and executive team</p> <ul style="list-style-type: none"> <li>• Karen Roberts</li> <li>• Marian Grecu, Gambling Auditor</li> <li>• Lynn Li, Gambling Auditor</li> <li>• Dallas Smithson, Gambling Auditor</li> <li>• Tim Storms, Gambling Auditor</li> <li>• Raymond Chan</li> <li>• Doug Mayer</li> <li>• Anna Fitzgerald</li> </ul>	<p>n/a</p>	<p>High</p> <p>High</p>	<p>This has been identified as an effective training tool for Corporate Registration Investigators. It is normally only available in Nevada but we have an opportunity to bring the facilitators to Victoria.</p> <p>Newer commercial auditor has been seconded for related special projects assisting Corporat registration, financial integrity reviews, and is the lone commercial auditor North of Kelowna</p>
<p>Justice Institute of British Columbia "Introduction to Administrative Law"  Date: TBD</p>	<p>New Westminster</p>	<ul style="list-style-type: none"> <li>• 3 day course - <b>\$615.00 admission</b></li> </ul>	<p>Philip Montgomery, Investigator, Compliance Division - Interior Team</p>	<p>N/A</p>	<p>High</p>	<p>Required training for SPC appointment</p>
<p>Justice Institute of British Columbia "Introduction to Criminal Law"  Date: TBD</p>	<p>New Westminster</p>	<ul style="list-style-type: none"> <li>• 3 day course - <b>\$615.00 admission</b></li> </ul>	<p>John Tvergyak, Investigator, Compliance Division - Interior Team</p>	<p>N/A</p>	<p>High</p>	<p>Required training for SPC appointment</p>

**From:** [Meilleur, Len FIN:EX](#)  
**To:** [Knight, Chris W FIN:EX](#)  
**Cc:** [Kipping, Bernadette FIN:EX](#); [Year, Maureen FIN:EX](#); [Kendall, Janelle FIN:EX](#); [Poirier, Courtney E FIN:EX](#)  
**Subject:** FW: John Bressette, Request for CAMLI training  
**Date:** Tuesday, September 5, 2017 10:14:00 AM  
**Attachments:** [T&D request.pdf](#)  
[travel approval.pdf](#)  
[CAMLI\\_092017\\_workshops\\_brochure.pdf](#)  
[MLinCanada17\\_brochure.pdf](#)  
[image001.png](#)

Approved as per previous email.

J.E.L. (Len) Meilleur  
 Executive Director  
 Compliance Division  
 Gaming Policy Enforcement Branch  
 Ministry of Attorney General  
 Location: 3rd Floor, 910 Government Street, Victoria BC V8W 1X3  
 Mailing Address: P.O. Box 9309 Stn Prov Govt, Victoria BC V8W 9N1  
 [REDACTED] Fax:250-356-0782  
 E-mail: [REDACTED]  
 Website: [www.gaming.gov.bc.ca](http://www.gaming.gov.bc.ca)



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**From:** Knight, Chris W FIN:EX  
**Sent:** Tuesday, August 29, 2017 9:19 AM  
**To:** Meilleur, Len FIN:EX  
**Cc:** Nagel, Kandice FIN:EX; Kipping, Bernadette FIN:EX  
**Subject:** John Bressette, Request for CAMLI training

Len,

As discussed yesterday, attached are the request / approval forms as well as information on both CAMLI workshops and the Annual forum.

John Bressette is already a member of ACAMS and continues to show a keen interest in the AML initiatives. He is one of our most junior investigators and has full intentions of remaining in GPEB and Government and this is a natural fit for his development in the AML scope of duties. He is probably the best AML reference outside of the Southern regions of the Province and I respectfully request that he be given appropriate priority consideration for this training.

Thanks in advance

*C.W. (Chris) Knight*



*Regional Director, Northern Region*

Special Provincial Constable

Compliance Division

Gaming Policy & Enforcement Branch

Prince George BC

Direct: [REDACTED]

Main office: [REDACTED]



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# Where ideas work TRAINING AND DEVELOPMENT

## APPLICATION, REGISTRATION AND REIMBURSEMENT

Please complete this form for all training/development activities of one half day or more.

### PART 1 - APPLICANT

EMPLOYEE ID not relevant	EMPLOYEE LAST NAME - PLEASE PRINT NAME Bressette	FIRST NAME AND INITIAL John A	E-MAIL ADDRESS [REDACTED]
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MINISTRY/BRANCH NAME Ministry of A.G./Gaming Policy & Enforcement Branch	WORK LOCATION ADDRESS - Include postal code #211 - 1577 7th Avenue, Prince George, BC V2L 3P5	WORK PHONE NO. [REDACTED] WORK FAX NO. (250) 6124130
---	--	---

JOB TITLE/CLASSIFICATION Special Constable	EMPLOYEE CLASS <input type="checkbox"/> BCGEU <input type="checkbox"/> PEA <input type="checkbox"/> NURSES <input type="checkbox"/> CUPE <input type="checkbox"/> OTHER, specify: <input checked="" type="checkbox"/> MGMT. EXCI. <input type="checkbox"/> SCHEDULE A <input type="checkbox"/> SAL. PHYSICIANS <input type="checkbox"/> OIC	APPOINTMENT STATUS <input checked="" type="checkbox"/> REGULAR <input type="checkbox"/> AUXILIARY
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NAME OF INSTITUTION/ORGANIZATION PROVIDING TRAINING ABC Solutions, Inc.	DOES EMPLOYEE REQUIRE ADAPTATIONS/ADJUSTMENTS TO TRAINING ENVIRONMENT TO ACCOMMODATE A DISABILITY? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO If YES, please advise facilitator of needs.	ADMINISTRATION <input type="checkbox"/> INTERNAL (Administered within government) <input checked="" type="checkbox"/> EXTERNAL (Provided by external vendor outside of government)
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TRAINING/DEVELOPMENT COURSE TITLE - Attach outline/brochure (if applicable) Money Laundering in Canada Forum 15th Annual (Brochure attached)	COURSE CODE	SESSION NO.	DEGREE/DIPLOMA/CERTIFICATE PROGRAM NAME (if applicable)	TRAINING LOCATION - CITY Victoria, BC
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TRAINING START DATE YYYYMMDD 2017/09/11	TRAINING END DATE YYYYMMDD 2017/09/13	TRAINING SESSION TIME FROM TO 7:30 16:45	NUMBER OF WORK HOURS ABSENT 21	NUMBER OF DAYS ABSENT 3	NUMBER OF INSTRUCTION HOURS 24
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I AM ALSO APPLYING FOR: <input type="checkbox"/> LEAVE - ARTICLE/SECTION NO. _____ <input type="checkbox"/> FINANCIAL SUPPORT	METHOD OF PAYMENT <input checked="" type="checkbox"/> CORPORATE CREDIT CARD (for external training only, transaction copy attached) <input type="checkbox"/> INVOICE ATTACHED (to be paid by employer) <input type="checkbox"/> ELECTRONIC JOURNAL VOUCHER (for intra-ministry training only) <input type="checkbox"/> EMPLOYEE TO BE REIMBURSED (receipts to follow)	EXPENSE TYPE	COSTS (must complete)
PRIMARY TRAINING/DEVELOPMENT REASON ( <input checked="" type="checkbox"/> ) ONE REASON ONLY <input type="checkbox"/> MD - Organizational Development <input type="checkbox"/> DV - Employee Development <input checked="" type="checkbox"/> JR - Job Related <input type="checkbox"/> CM - Legal/Regulatory Requirement OR Certification		Course Cost	2347.25
		Books	
		Travel and Related Expenses (Travel Voucher must be completed)	915
		<b>TOTAL</b>	<b>3262.25</b>

EMPLOYEE SIGNATURE 	DATE SIGNED YYYY MM DD 2017 08 29
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### PART 2 - APPROVALS / RECOMMENDATIONS (Refer to corporate and ministry policy for reimbursement guidelines)

I have discussed this application with the applicant:

<input checked="" type="checkbox"/> TRAINING REQUEST APPROVED <input type="checkbox"/> REIMBURSEMENT RECOMMENDED (if applicable) TOTAL: \$ COURSE COST _____ BOOKS _____ TRAVEL _____ <input type="checkbox"/> REQUEST NOT APPROVED AT THIS TIME FOR THE FOLLOWING REASON: _____	<input checked="" type="checkbox"/> LEAVE NOT REQUIRED <input type="checkbox"/> LEAVE WITH PAY APPROVED AT _____ % <input type="checkbox"/> LEAVE WITH PAY NOT APPROVED <input type="checkbox"/> EDUCATION LEAVE WITHOUT PAY APPROVED	Indicate whether DIRECTLY or GENERALLY related to current duties: <input checked="" type="checkbox"/> DIRECTLY RELATED <input type="checkbox"/> GENERALLY RELATED
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MANAGER/DESIGNATED SUPERVISOR AUTHORITY SIGNATURE 	PRINT NAME C.W. Knigoff	DATE SIGNED YYYY MM DD 2017 10 29
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Expense Authority approval and financial coding are ONLY required for training and development that requires payment

CLIENT	RESP. CENTRE (Exp. Org.)	SERVICE LINE (Task)	STOB (Exp. Type)	PROJECT NO. (If Applicable)	SUPPLIER NO.	INVOICE/REIMBURSEMENT AMOUNT APPROVED \$
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EXPENSE AUTHORITY SIGNATURE CERTIFIED THAT THE AMOUNT TO BE PAID IS CORRECT IS IN ACCORDANCE WITH APPROPRIATE STATUTE OR OTHER AUTHORITY FOR PAYMENT AND/OR CONTRACT AND, WHERE APPLICABLE, THAT THE WORK HAS BEEN PERFORMED, THE GOODS SUPPLIED, THE SERVICE RENDERED AND/OR OTHER CONDITIONS MET.	PRINT NAME	DATE SIGNED YYYY MM DD
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### PART 3 - OTHER DESIGNATED AUTHORITY (If required)

SIGNATURE (e.g., Training Advisors, Ministry Occupational Safety and Health Contact, etc.)	PRINT NAME	DATE SIGNED YYYY MM DD
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### PART 4 - CHIPS DATA ENTRY: TRAINING ADMINISTRATION & LEAVE (If required)

<input type="checkbox"/> CERTIFICATION RECEIVED? YYYY MM DD	<input type="checkbox"/> RECERTIFICATION REQUIRED? YYYY MM DD	DATE TRAINING ENTERED INTO CHIPS YYYY MM DD	INITIALS	DATE LEAVE ENTERED INTO CHIPS YYYY MM DD	INITIALS - LEAVE RECORDER
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## TRAINING AND DEVELOPMENT APPLICATION, REGISTRATION AND REIMBURSEMENT

Complete this application for any internal or external training and development activities of one-half day or more. This includes employee initiated and employer supported requests for training, development or educational programs, seminars, courses, workshops and conferences, during or outside normal working hours. Refer to corporate and ministry training and development policies and guidelines for complete details.

**Please note:** If your ministry has identified that preference will be given to employees from specific equity groups for selected courses or developmental activities, attach a covering memo which identifies whether you are aboriginal, visible minority, a person with a disability or female.

### PART 1 – APPLICANT

1. Complete Part 1 in full.
2. Indicate Primary Training Reason:
  - MD – Organizational Development* – Training/development which includes the entire work unit or ministry, to improve the operational effectiveness of that specific group or organization.
  - DV – Employee Development* – Professional, educational and career development that is generally related to the employee's current job duties and is expected to enhance a person's ability to function on the job.
  - JR – Job Related* – Training/development identified through corporate and ministry strategic priorities, performance and business planning processes to enhance the employee's knowledge/skills/abilities to perform the current job.
  - CM – Legal/Regulatory Requirement or Certification* – Essential training/development where there is a legislated responsibility to meet specific certification standards and provide regulatory services.
3. Forward a signed, hard copy to your supervisor for approval and completion of Part 2.
4. Spending Authority approval is required for payments and/or reimbursement of expenses for all external training and for any internal training that requires payment.
5. Obtain "Other Designated Authority Signature" (Part 3) for some specific programs or if required by ministry. (e.g. Ministry Occupational Safety and Health (OSH) contact must sign all OSH committee training requests.)
6. Upon approval, employee or designate registers as directed in the outline/brochure for the training/development.
7. Where appropriate forward a copy of the approved application to the branch administrator to commit funds.
8. Retain the original application until training/development is completed.

#### Once training/development is completed:

1. Confirm "Costs" section upon completion of training/development and indicate method of payment.
2. Attach original receipts or invoices to the ORIGINAL application for payment processing.
3. Attach proof of completion, certification/license or statement of grades to all reimbursement requests.
4. Forward a photocopy of the application (attach proof of completion, certification/license or statement of grades) to the designated ministry Training Administrator and Leave Administrator (if applicable) for entry into CHIPS.
5. Retain a photocopy of the original application for record keeping purposes.
6. Submit a travel voucher (if applicable) with original receipts for reimbursement of pre-approved, related travel expenses.
7. Complete a Training and Development Evaluation form where required by ministry Training and Development Policy.

### PART 2 – APPROVALS

1. Supervisor and Spending Authority completes Part 2, as required.
2. Supervisor signs and forwards application to Spending Authority for approval, where appropriate.
3. Upon supervisor approval, Spending Authority indicates approval and commits funds.
4. Spending Authority or designate processes payment/reimbursement of expenses.

### PART 3 – OTHER DESIGNATED AUTHORITY (If required)

1. Refer to the training/development outline or ministry policy for program pre-requisites and approval guidelines.
2. Forward the approved application according to the registration instructions or return to employee.

### PART 4 – CHIPS DATA ENTRY: TRAINING ADMINISTRATION & LEAVE (If applicable)

1. Record the employee attendance, training reason, certification (if applicable) and course cost in the CHIPS Administer Training Module. Refer to the Web-based Learning Assistant (WLA) at <https://icw.eview.gov.bc.ca/chips/training/training.htm> for complete data entry instructions.
2. Training Administrator initials and records the date entered in CHIPS and files the application on the employee's personnel file, (ARCS 1385-20).
3. If required, (e.g., professional development leave as outlined in collective agreements) forward to Leave Administrator.
4. Leave Administrator selects and records the appropriate leave code in CHIPS, initials and records the date entered in CHIPS, and files the application as required.

#### Freedom of Information and Protection of Privacy Act

The information collected on this form is required to process your application for training and development. If you have any questions about the collection of this information, contact your training or personnel office. This form is in compliance with the Freedom of Information and Protection of Privacy Act.

Additional information and copies of this form are available on the internet: [employee.gov.bc.ca](http://employee.gov.bc.ca)



### TRAVEL AUTHORIZATION

This form must be used for all out-of-province travel requests.  
It may, at the discretion of ministries, be used for in-province travel requests.

Out-of-Province     Out-of-Canada     In-Province

MINISTRY / ENTITY / CORPORATE NAME (IF CONTRACTOR)		VOTE	
Ministry of AG/Gaming Policy Enforcement Branch - Compliance Division			
EMPLOYEE NAME		EMPLOYEE ID.	
John Bressetta		not relevant	
POSITION		BARGAINING UNIT / GROUP NO.	
Investigator			
BRANCH / LOCATION / REGION			
Gaming Policy Enforcement/Compliance Division/Prince George/Northern Region			

ESTIMATED COSTS (IN CAN. \$)	
Transportation	610
Meals	185
Lodging	120
Overtime	
Fees	2,075
Other	
SUB TOTAL	2,990
Less Costs paid by others	
<b>TOTAL COSTS</b>	<b>2,990</b>

DATE DEPARTING YYYY / MM / DD 2017/09/10	DATE RETURNING YYYY / MM / DD 2017/09/13	NO. OF WORKDAYS AWAY 3	ESTIMATED OVERTIME CLAIM 0 HOURS
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IDENTITY OF ORGANIZATION (OTHER THAN PROV. OF B.C.) PAYING ANY OF THE COSTS  
 N/A, OR

DESTINATIONS  
 Victoria, BC

METHOD OF TRAVEL  
 Commercial Air

**SIGNATURES**  
 Refer to CPPM 10.3.4 Policy 1 and 10.4.4 for approval authorities.  
 PLEASE SIGN ONE BOX ONLY

PURPOSE OF TRAVEL  
 Give details of event to be attended, project name / number, program involved, benefits to Ministry, etc.

Attending the following events in Victoria related to Canadian Money Laundering trends:

- Sept 11 CAMLI Workshop # 1 - Employee Fraud: The Signs, Risk and Preventative Measures
- Sept 11-13 - Money Laundering in Canada Forum
- Sept 13 CAMLI Workshop # 2 - Using Statistical Data with Risk Assessments

DIRECTOR		DATE SIGNED
APPROVED	NOT APPROVED	YYYY / MM / DD
ASSISTANT DEPUTY MINISTER		DATE SIGNED
APPROVED	NOT APPROVED	YYYY / MM / DD
DEPUTY MINISTER		DATE SIGNED
APPROVED	NOT APPROVED	YYYY / MM / DD
MINISTER		DATE SIGNED
APPROVED	NOT APPROVED	YYYY / MM / DD

EMPLOYEE'S SIGNATURE

DATE SIGNED  
 YYYY / MM / DD  
 2017/08/28

Originator completes and forwards a copy to immediate supervisor for approval(s). If travel is approved, the supervisor retains a copy and returns the original to the originator. The originator attaches the original and a copy to their travel voucher.



Canadian Anti-Money Laundering Institute

# 2017 CAMLI Workshops

Employee Fraud: The Signs, Risks and Preventive Measures

Using Statistical Data with Risk Assessments:  
What's Available & How to Use It Effectively



September 11 & 13, 2017

Hotel Grand Pacific Hotel, Victoria, B.C.

## Workshop 1 - Employee Fraud: The Signs, Risks and Preventive Measures

### September 11, 2017 - 7:30am - 11:45am

Sound risk assessment programs must look at mitigating the risks generated by a business' workforce. This has become increasingly relevant in today's money laundering control environment, given the prevalence of media reports that describe employees who have played a key role in the success of money laundering within their organization; planned and carried out internal frauds, generating funds that had to be laundered to escape attracting attention; or used workplace skills to recognize fraud and the movement of dirty money in order to successfully perpetrate their own fraudulent schemes.

Jeff Filliter's career has included numerous files where he has been brought in to investigate and identify the perpetrators of suspected fraudulent schemes; and work with businesses to develop fraud prevention control programs. This experience and supporting case studies will be explored from the risk and subsequent risk mitigation perspectives. Jeff will define employee fraud and support that definition through a detailed look at case studies that he personally investigated. Jeff plans to encourage audience participation by exploring different perspectives, options, and techniques that could be applied in each case. Finally, Jeff wants to promote practical application through a discussion of how each crime might have been prevented, focusing on identifying the most effective preventative measures to use.

#### Workshop Schedule

7:30 - 8:30	Registration and Breakfast
8:30 - 10:00	Workshop
10:00 - 10:30	Coffee Break
10:30 - 11:45	Workshop

## Workshop 2 - Using Statistical Data with Risk Assessments: What's Available and How to Use It Effectively

### September 13, 2017, 12:30pm - 5:00pm

An integral component of all risk assessment programs is for the reporting entity Compliance Officer to identify, confirm, assess, and justify the risks associated with the business itself and the customers who purchase its products and services. That multi-tiered process requires drawing conclusions from information sources available on the environment in which the business operates and the demographic features each customer brings to the business relationship with the reporting entity. Crime, employment, income, population, industrial, economic, and age factors are but some of the data sources that can be used to differentiate degrees of risk across business products, services, delivery methods, and geographic locations; as well as when it comes to drilling down to the customer level and the assignment of risk to each customer.

#### Workshop Schedule

12:30 - 1:30	Registration and Lunch
1:30 - 3:00	Workshop
3:00 - 3:20	Coffee Break
3:20 - 4:45	Workshop

The workshop has been structured to examine the topic from three aspects. First will be a discussion of the types of statistical data that are extremely useful when performing KYC activities and the subsequent development of Risk Assessment parameters (e.g. local and regional crime, employment, income, and population statistics). Once this data expanse has been explored, the presenters will look at the different levels of data that is available; the sources for that data; how to read and understand statistical tables; and what the numbers mean and don't mean. Finally, the presenters will explore the variety of methods that Compliance Officers can use the data to strengthen business and customer risk assessments. Visual representations, heat mapping, and the layering of data techniques are all very effective ways of displaying the data to support contextual discussions. They help bring the risk assessment arguments and positions alive and make them meaningful.

**Session Presenter - Jeff Filliter**  
**J.R. Filliter Investigative Services**

Jeff Filliter has over 43 years of investigative experience with the R.C.M.P., Scotiabank, and in private practice. He holds an honors degree in Administrative Studies (BA), with distinction, has been a Certified Fraud Examiner since 1995, and specializes in domestic and international fraud, money laundering, and asset tracing. He has provided expert civil and criminal testimony globally, and has been declared a professional witness in the U.K. Jeff is an expert in financial and white-collar crime; fraud; money laundering; terrorist financing; proceeds of crime; forensic investigations; organized crime; risk management; and security. In 2016, Jeff published a book titled 'The Shallowest of Men', a fictionalized version of factual events, involving murder, fraud, money laundering and corruption.

**Session Presenter - Marta Burczycka**  
**Canadian Centre for Justice Statistics, Statistics Canada**

Marta Burczycka (pronounced *Burr-chit-ska*) is an analyst and author at the Canadian Centre for Justice Statistics (a division of Statistics Canada). Using data from a variety of survey and administrative sources, she has written on topics ranging from police resources, transition homes for women, and trends in administration of justice offences. Most recently, Marta has been the lead author of the annual publication *Family Violence in Canada: A Statistical Profile*. Her experience at the Centre also includes managing the Police Administration, Homicide, and Transition Home Surveys, preparing material for presentation to various Parliamentary and Senate committees, and representing Statistics Canada on the multi-departmental federal Family Violence Initiative. Marta has presented at international academic conferences and has led workshops aimed at familiarizing researchers with Statistics Canada data holdings. She holds a Master of Arts degree in Sociology (Carleton, 2006), is English/French bilingual and fluent in Polish. Marta currently lives in Ottawa, Ontario.

**Session Presenter - Julian Arend**  
**About Business Crime Solutions, Inc.**

Julian Arend is an AML/CTF consultant with ABCsolutions. In that capacity, one of his primary responsibilities is monitoring the Canadian compliance regime for an MSB, for whom he assesses customer risk, develops risk mitigation and data collection protocols, and organizes AML/CTF reporting. Prior to joining ABCsolutions, Julian was an award-winning educator, teaching adults at both the College and University levels for over a decade. From 2009 to 2014, he was also a Research Fellow at the Centre for International and Security Studies at York University where he conducted specialized research on terrorism/counterterrorism, political communication and public policy, and was invited to present his findings at a number of international conferences in those fields. Through his extensive work and academic experience, Julian has gained expertise in data analysis and in developing effective methods to synthesize complex data points into meaningful frameworks that can be communicated simply and applied with clarity.

As is the style of all CAMLI workshops, discussion and the sharing of information across and between the instructor and delegates are paramount. Questions for clarification and understanding are promoted. Such an interactive learning environment will require that CAMLI limits the number of delegates who can register. Consequently, those interested should register early to secure their seat.

The workshops are being offered under the auspices of the Canadian Anti-Money Laundering Institute (CAMLI) and delegates will receive a Certificate of Participation from CAMLI. In addition, each workshop will carry 2.5 CAMLI Continuing Education Credits.

## Hotel Information

Hotel Grand Pacific  
 463 Belleville Street  
 Victoria, British Columbia, Canada

All room reservations can be made in the following ways:

- Call the toll free reservation line at [REDACTED], Local calls: [REDACTED]. Group Name: ABCsolutions Booking Code: SEPT17ABCSOLUTIONS
- Email: [REDACTED]

For 2017, we have arranged with the hotel special room rates for our delegates. Specifically, the Hotel Grand Pacific is offering rates starting at \$229/night for all delegates.

[www.camli.org](http://www.camli.org)

# Registration Form: CAMLI 2017 Workshops

Wednesday, September 11 & 13, 2017  
Hotel Grand Pacific



	Extra Early Bird (before April 14)	Early Bird (before June 30)	Regular Rate
Non Member Pricing September 11&13th	\$600 + GST \$630.00 Total	\$625 + GST \$656.25 Total	\$675 + GST \$708.75 Total
CAMLI Member Pricing September 11&13th	\$550 + GST \$577.50 Total	\$575 + GST \$603.75 Total	\$625 + GST \$656.25 Total
Non Member Pricing September 11 or 13th (Circle date)	\$325 + GST \$341.25 Total	\$350 + GST \$367.50 Total	\$375 + GST \$393.75 Total
CAMLI Member Pricing September 11 or 13th (Circle date)	\$300 + GST \$315.00 Total	\$325 + GST \$321.50 Total	\$350 + GST \$367.50 Total

Food Allergies: If Yes (Specify) \_\_\_\_\_

Name of Company			
Delegate Name			
Email Address			
Phone Number		Fax Number	
Street Address			
City/Town/Province		Postal Code	

## How to Register: ONLINE

### BY Mail:

Canadian Anti-Money Laundering Institute  
PO Box 427  
Merrickville, Ontario, K0G 1N0

### BY:

Telephone: [REDACTED]  
Fax: 613 526-9384  
Email: [REDACTED]



### Payment Methods By:

- Cheque Enclosed     Money Order Enclosed  
 Credit Card

Amount Paid (including taxes): \_\_\_\_\_ CAMLI Membership Number (if applicable): \_\_\_\_\_

1. Card Type:  MasterCard     VISA     American Express     Discover [Please Print]

2. Name on Card: \_\_\_\_\_

3. Number on Card: \_\_\_\_\_

4. Expiry Date (month/year): \_\_\_\_\_

Signature: \_\_\_\_\_

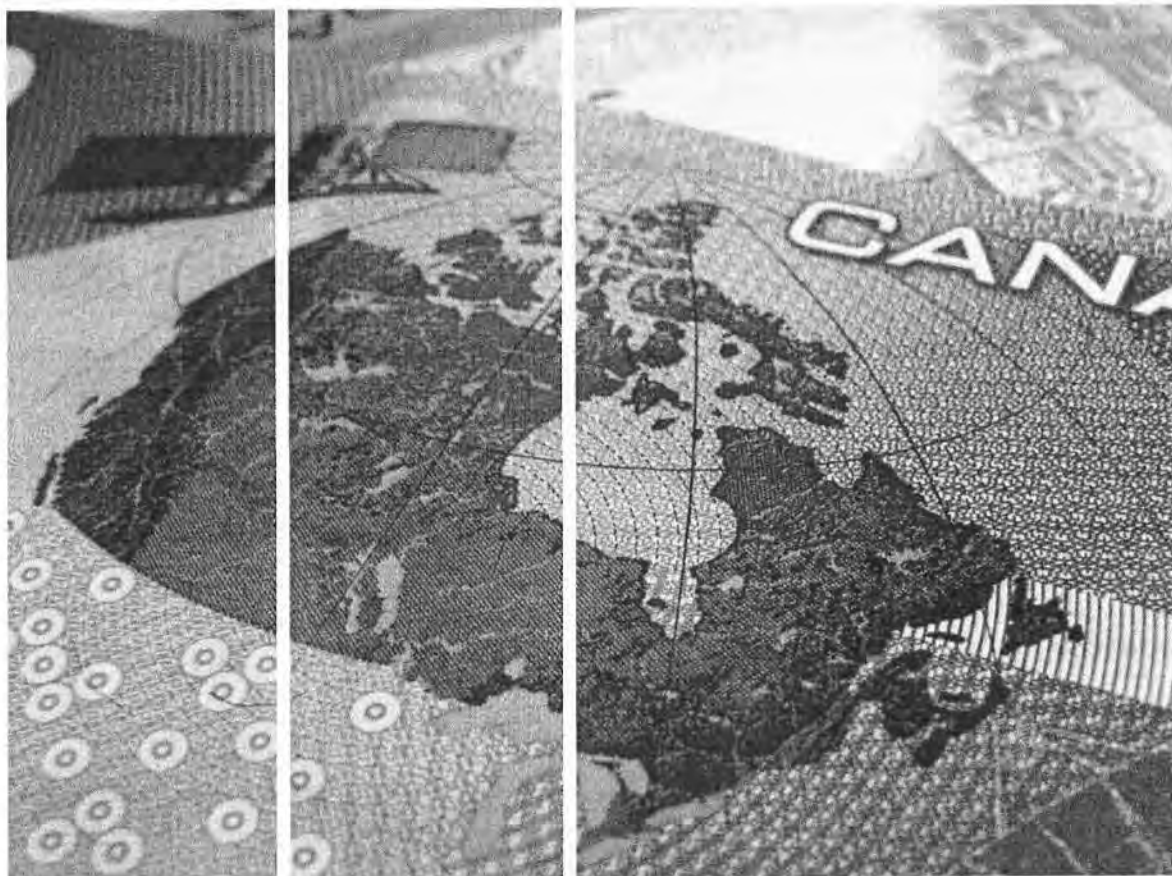
### General Information:

- Location of Registration Desk and seminar room at the Hotel Grand Pacific will be sent to paid delegates by email in advance of the actual presentation day.
- Full refunds, less an administrative fee of \$200.00, will be made for cancellations received in writing by June 30, 2017. No refunds will be given after that date; however, delegate substitutions are permitted.
- Casual business attire is recommended for the seminar.





*Strengthening Compliance Application & Management Skills through Interactive Learning*



15th Annual

**Money Laundering in Canada 2017**

# September 11-13, 2017

## Hotel Grand Pacific Victoria, British Columbia, Canada

The 15th instalment of Canada's premier event in the field of money laundering compliance and control. The theme of Money Laundering in Canada 2017 is **Strengthening Compliance Application & Management Skills through Interactive Learning.**

2017 will see a shift in the style and approach of *Money Laundering in Canada*. Our new model will offer ten 90 to 120-minute interactive workshops on compliance program application and management topics that continue to challenge effective AML/CTF compliance regimes across Canada's designated reporting sectors.

Each workshop will be led by faculty selected for their: knowledge and practical experience in their topic area; demonstrated experience in the design and delivery of different educational formats, including: seminars and workshops for professional practitioners; credit courses at the college, university, and professional institute level; and their skill in promoting an interactive and mutual learning atmosphere within a time-limited educational environment.

In addition to the interactive workshops, three Plenary Sessions will address topics current to regulatory oversight, emerging trends and risks, and new delivery requirements.

Registration for *Money Laundering in Canada 2017* will be limited in order to facilitate the interactive format. Make sure to register early to secure your spot.

One of the few hotels right on the Inner Harbour, and next to the landmark BC Legislature and Parliament Buildings, the Hotel Grand Pacific is just two blocks from Victoria's downtown restaurant and shopping district, and five blocks from Fisherman's Wharf



### Who Should Attend?

- Banks
- Credit Unions
- Caisses Populaires
- Securities & Investment Dealers
- Mutual Fund Companies
- Precious Metal/Gem Dealers
- Credit Card Suppliers
- Life Insurers
- Foreign Exchange Dealers
- Money Service Businesses
- Law Enforcement
- Regulatory Officials
- Real Estate Developers
- ATM & SVC Providers
- Lawyers
- Accountants
- Real Estate Professionals
- Financial Planners
- Casinos & Gaming
- Trusts & Loans



# Agenda at a Glance



<b>Monday, September 11</b>		
11:00 - 12:30 PM	Registration & Networking	
1:00 - 1:15 PM	Welcome & Opening Remarks	
1:15 - 2:15 PM	Plenary A - The Evolution of KYC in Canada	PA
2:15 - 2:45 PM	Coffee & Networking Break	
2:45 - 4:45 PM	Workshop 1 - Building an Effective Business Relationship and Monitoring Model Workshop 2 - The Credit Bureau: Its Value, Problems, and Application to Customer Due Diligence Issues	WS1 WS2
5:30 - 7:00 PM	Reception	

<b>Tuesday, September 12</b>		
7:45 - 8:45 AM	Breakfast & Networking	
9:00 - 10:30 AM	Workshop 3 - AML and Privacy: When Two Worlds Collide Workshop 4 - Composing Better STR/SATRs: Clarity, Consistency, and Controlling the Narrative	WS3 WS4
10:30 - 11:00 AM	Coffee & Networking Break	
11:00 - 12:30 PM	Workshop 5 - AML/CTF Model Validation: Working Toward Zero Deficiency Outcomes Workshop 6 - Open Source Intelligence: Due Diligence Tools and Techniques	WS5 WS6
12:30 - 1:30 PM	Luncheon	
1:30 - 3:30 PM	Workshop 7 - Terrorist Financing vs Resourcing: Models for Identifying and Reporting Workshop 8 - The Ever-Expanding Detail of KYC: Tools and Techniques	WS7 WS8
3:30 - 4:00 PM	Coffee & Networking Break	
4:00 - 5:00 PM	Plenary B - Underground Banking, Legal Trusts and Canadian Politicians	PB
5:30 - 7:00 PM	Reception	

<b>Wednesday, September 13</b>		
7:40 - 8:45 AM	Breakfast & Networking	
8:45 - 9:00 AM	Announcements	
9:00 - 10:30 PM	Workshop 9 - Suspicious Transactions: Indicators, Conclusions and Making the Right Decision Workshop 10 - The Canada Revenue Agency and Anti-Money Laundering	WS9 WS10
10:30 - 11:00 PM	Coffee & Networking Break	
11:00 - 12:00 PM	Plenary C - FINTRAC: New Guidance & Reporting STRs	PC
12:00 - 12:15 PM	Closing Remarks	



September 11, 2017

## PLENARY A

### The Evolution of KYC in Canada: How this Parallels with the Global Economy

1:15 - 2:15 PM

Zac Cohen  
Trulioo  
Vancouver, BC

As FINTRAC intensifies pressure on the 31,000 Canadian businesses subject to Canada's Know Your Customer (KYC) and Anti-Money Laundering (AML) laws, cultural changes towards compliance-driven objectives should be a key priority for financial services providers to protect their reputation from being tarnished by scandals. Through this key plenary session, the speaker will discuss recent AML and KYC changes in Canada, Europe and the US that have evolved to respond to the dynamic nature of threats from money laundering and terrorist financing, and the emergence of regulatory technology (RegTech) to embrace these new rules and requirements both at a local and global level. Due to conflicting priorities -- regulators need to ensure corrupt and terrorist funding doesn't enter the system, companies need to ensure compliance without stretching their resources and citizens need data privacy without slowing their consumer activities -- technology will be at the forefront of fighting the war on money laundering and terrorism.

## WORKSHOP 1

### Building an Effective Business Relationship and Monitoring Model

2:45 - 4:45 PM

Julian Arend  
About Business Crime Solutions, Inc.  
Toronto, ON

This workshop focuses on the importance of Business Relationships (BR) and how to use the collected data effectively to construct meaningful and useful BRs. The presenter will discuss effective record keeping; building a BR from the ground up; what needs to be included for maximum efficiency; customer risk assessment; and BR monitoring. The workshop leader plans an interactive session involving practice sessions with participants constructing BRs, followed by peer evaluation and discussion.

## WORKSHOP 2

### The Credit Bureau: Its Value, Problems and Application to Customer Due Diligence Issues

2:45 - 4:45 PM

Tara Zecevic  
Equifax  
Toronto, ON

This session will provide an overview of Equifax's role in helping reporting entities embrace the new digital landscape while assisting with the implementation of their compliance strategies and their fulfillment requirements under the new FINTRAC *Proceeds of Crime (Money Laundering) Anti-Terrorist Financing Act* regulations and guidelines. Attendees will learn about Equifax's seamless single and dual source cascading solution, which was designed to help in the areas of compliance, reliable source, actionable results, and reporting.



**September 12, 2017**

**WORKSHOP 3****AML and Privacy: When Two Worlds Collide**

9:00 - 10:30 AM

**Dan Ruch**  
**Equitable Bank**  
**Toronto, ON**

The rule of law, freedom under the law, democratic principles, and respect for others form the foundations of Canada's legal heritage. Laws are intended to balance individual rights with our obligations as members of society. When it comes to AML/CTF matters and privacy, sometimes these two worlds collide and financial institutions can get caught in the middle. This workshop presenter will explore such competing elements as: family trust secrets vs beneficial ownership reporting requirements; access to information requests vs tipping; lawyers' hall pass on anti-money laundering requirements; and the results from recent legal cases and decisions.

**WORKSHOP 4****Composing Better STR/SATRs: Clarity, Consistency and Controlling the Narrative**

9:00 - 10:30 AM

**Julian Arend**  
**About Business Crime Solutions, Inc.**  
**Toronto, ON**

This hands-on workshop will delve into the means and ways to improve the suspicious transaction reporting process. Workshop discussion will cover, among others, such topics as: the importance of clarity and consistency in what is said; the process for establishing reasonable suspicion; what information to include in the discussion sections of the STR; how to frame events to control the narrative; and, how to justify your position. The workshop will include interactive exercises, followed by peer evaluation and discussion.

**WORKSHOP 5****AML/CTF Model Validation: Working Toward Zero Deficiency Outcomes**

11:00 AM - 12:30 PM

**Marcy Betts**  
**Prairie Credit Union Compliance Services Inc.**  
**Estevan, SK**

Regulators are requiring reporting entities to conduct scheduled assessments of their AML/CTF compliance regimes to determine if it is operating as intended and confirming whether the current operational activities are sufficient to meet the required outcomes. This process has become known as model validation, system validation, or independent verification and validation (IVV). This workshop will: confirm the essential elements of a designated, comprehensive compliance regime, or AML/CTF model, as reinforced from regulatory audit/examination findings; discuss what applications and employee activities ensure the success of these elements; what things could or do impede success; what are the details and practices that need to be in place to confirm compliance is being met; and what happens when the Board, management, or employees decide to go in another direction.



**September 12, 2017**

**WORKSHOP 6****Open Source Intelligence: Due Diligence Tools and Techniques****11:00 AM - 12:30 PM****Kevin Lo****Froese Forensic Partners Ltd.  
Toronto, ON**

Kevin Lo, through his enthusiastic presentation style, will examine open source intelligence and the tools available to improve company-wide customer due diligence practices. He will explore such topics as: online search techniques and strategies; database systems; acquisition of hidden and archived web information; geo-locating methods and image recognition technology. This workshop will stress audience interaction to demonstrate how to direct searches to obtain results quickly, while protecting personal identity during the search process.

**WORKSHOP 7****Terrorist Financing vs Resourcing: Models for Identifying and Reporting****1:30 - 3:30 PM****Garry Nichols****The Nichols Group  
Toronto, ON**

Terrorist financing vs. terrorist or threat resourcing will be the focus of this workshop. Specifically, this session explores the Terrorist Financing Model created by Canada's Integrated Threat Assessment Centre. This model of terrorist financing activity is structured along the same lines as money laundering (placement-layering-integration), containing five or six stages, or a mixture of some stages, leading toward an event. Garry intends to discuss: the Financial Indicators of Mobilization of domestic radicals that he identified while working with CSIS; the exemption provided in PIPEDA regarding the provision of information to law enforcement; and how to report suspicious activity that ultimately proves out to be related to terrorism.

**WORKSHOP 8****The Ever-Expanding Detail of KYC: Tools and Techniques****1:30 - 3:30 PM****Michelle Hay  
Coast Capital Savings  
Surrey, BC****John Shoemaker  
Great West Life  
London, ON**

The compliance necessities involved with KYC continue to be a moving target, given ever-changing requirements set by legislation and regulatory authorities. In response, reporting entities must continually review their due diligence competencies to assist with responding to the new public record data and customer authentication challenges. This workshop will examine the various demands placed on KYC information, including the new regulatory demands, and how service organizations have responded. Participants are encouraged to bring and share their own KYC issues, solutions, and challenges in a mutual learning format.



**September 12, 2017**



**PLENARY B**

**Underground Banking, Legal Trusts and Canadian Politicians**

4:00 - 5:00 PM

**Sam Cooper**  
**Sun Media**  
**Vancouver, BC**

Several court and regulator cases moving forward in B.C. reveal allegations about the use of underground banking and fund transfers from undisclosed sources in Asia to B.C., routed through legal trust funds of Canadian lawyers. Destinations for these funds can be residential homes in B.C., development projects and resource assets, and in one case, allegedly funds fraudulently diverted and routed into donations and possibly political sponsorships. Sam Cooper will talk about the patterns suggested in these cases, and new information about underground banking methods that has come out in testimony, and why Canadian citizens and regulators should be concerned.



**September 13, 2017**



**WORKSHOP 9**

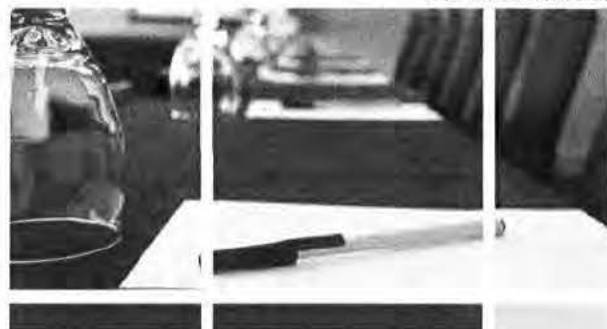
**Suspicious Transactions: Indicators, Conclusions and Making the Right Decision**

9:00 - 10:30 AM

**Garry Nichols**  
**The Nichols Group**  
**Toronto, ON**

Drawing on his extensive career in managing money laundering investigations with the RCMP and his subsequent role as a Regional Office Director with FINTRAC, Garry will discuss in detail the identification of suspicious transactions (completed and attempted), and what information is critical when making the decision to submit a report, supporting his points with case studies and observations gleaned from investigations into money laundering activity in Canada. He will highlight the utility of knowing suspicious transaction indicators and how useful they are to FINTRAC analysts. He will also explore the fine line between reporting suspicious client behaviour repeatedly, where you slowly move from being abused by the criminal to becoming complicit despite reporting the suspicion.

**September 13, 2017**



**WORKSHOP 10**

**CRA Criminal Investigations Program: Targeting Large-Scale Offshore Tax Evasion and Money Laundering**

**9:00 - 10:30 AM**

**Jim Talbot**  
Canada Revenue Agency  
Vancouver, BC

**Shiraz Mawji**  
Canada Revenue Agency  
Vancouver, BC

Participants will receive an update on the key priorities of the CRA Criminal Investigations Program, focusing on investigating the most egregious cases of tax evasion/fraud and money laundering. Particular emphasis will be placed on the criminal investigations of those engaged in international tax evasion and aggressive international tax planning, promoters of tax evasion and fraud schemes, the underground economy, and GST/HST fraud. There will be a discussion of the work that the CRA is doing with its domestic and international partners in investigating cases of multiple frauds, including money laundering.

**PLENARY C**

**New FINTRAC Guidance & What You Need to Know about Reporting STRs to FINTRAC**

**11:00 AM - 12:00 PM**

**Carrie Hagerman**  
FINTRAC  
Ottawa, ON

Through this key plenary session, FINTRAC will provide more specific information on elements to consider when you are assessing information to determine when you need to send an STR to FINTRAC. It will include a description on indicators to look for as well as some guidance on how to assess contextual information in order to make a determination. The speaker will also discuss new guidance that was published in June 2017 and clarify the application of regulatory changes that have come into force over the last year. As has become the practice, the opportunity to ask questions in response to the presentation will be encouraged.

The Canadian Anti-Money Laundering Institute will be offering two workshops in conjunction with Money Laundering in Canada 2017. Visit [www.camli.org](http://www.camli.org) to learn more!



**Workshop 1 - Employee Fraud: The Signs, Risks and Preventive Measures**  
**September 11, 2017, 7:30am - 11:45am**

**Workshop 2 - Using Statistical Data with Risk Assessments: What's Available and How to Use It Effectively**  
**September 13, 2017, 12:30pm - 5:00pm**





## General Information



### Hotel

The Hotel Grand Pacific will be the host venue for the 2017 instalment of Money Laundering in Canada. Attendees are encouraged to make use of the special rate at the Grand Pacific. While slightly lower rates may be found elsewhere in the city, the conference hotel, as part of their agreement with the Money Laundering in Canada organizers, provides meeting space and additional services that help keep the registration fees reasonable.

All room reservations can be made in the following ways:

1. Call the toll free reservation line at [REDACTED] Local calls: [REDACTED] Group Name: ABCsolutions Booking Code: SEPT17ABCSOLUTIONS
2. Email [REDACTED]

For 2017, we have arranged with the hotel a special room rate for our delegates. Specifically, the Hotel Grand Pacific is offering a rate of \$219/night for all delegates. This rate applies from Thursday, September 7th through to Saturday, September 16th. This allows delegates to enjoy Victoria for a few days at our special rate. Reserve early as our hotel room allotment typically sells out and we fully expect that to be the case this year.

### Registration

Money Laundering in Canada is designed to provide maximum value, with additional savings for early bird registrations, multiple registrations from the same organization, and members of the Canadian Anti-Money Laundering Institute (CAMLI).

- Extra Early Bird - save 10% off the Early Bird Rate when you register and pay by March 31, 2017
- Early Bird Special Rate with registration and payment received by June 30, 2017: \$1300.00 + GST
- Registering 3 or more delegates from the same organization at the same time and received by the early bird date: \$1225 + GST per registrant
- CAMLI member discount (if applicable): save \$75 on Early Bird or Full Registration rates
- Full Registration: \$1450 + GST
- Fee for registering 3 or more delegates from the same organization at the same time AFTER June 30, 2010: \$1375 + GST per registrant

### Your Fee Includes:

All plenary sessions and workshops; all morning and afternoon coffee breaks throughout the conference; breakfast on Tuesday and Wednesday, lunch on Tuesday; and the networking receptions scheduled for Monday and Tuesday evenings. Speakers are subject to change without notice; updated speaker assignments can be found at [www.moneylaundering.ca](http://www.moneylaundering.ca) and in our [LinkedIn group](#)

### Continuing Education Credits

Participants who are eligible to earn credits for attending this conference should check with their credit granting organization to confirm what credits are available. A participation certificate will be provided to all delegates on the final day.

### Cancellations

Refunds, less an administrative fee of \$300.00, will be made for cancellations received in writing by June 30, 2017. No refunds will be given after that date, but delegate substitutions are permitted up to and including September 11, 2017.

### Conference Dress

Casual business attire is recommended for the sessions.

### Conference Materials and Language

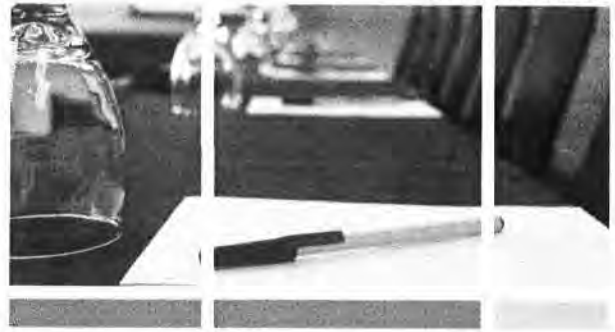
All sessions will be conducted in English only. Participants are invited to collect their materials at the Registration Desk on the ground floor of the Hotel Grand Pacific at the following time:

Monday, September 11, 2017 11:00 am - 12:30 pm

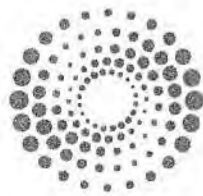
### Contacts

For additional information on the program, please contact About Business Crime Solutions, Inc.; Telephone: [REDACTED] Fax: 613-283-7775 or; e-mail: [REDACTED]

## Sponsors



We would like to thank the sponsors of Money Laundering in Canada 2017 for their continued support.



THOMSON REUTERS™

# VERAFIN

THE CANADIAN MONEY  
SERVICES BUSINESS  
ASSOCIATION

ASSOCIATION CANADIENNE  
ENTREPRISES DE SERVICES  
MONÉTAIRES



CANADIAN  
ANTI-MONEY  
LAUNDERING  
INSTITUTE

CAMLI



# REGISTRATION FORM

Delegates are entitled to **Extra Early Bird pricing** for the registration fee if their registration and payment is received by March 31, 2017.

**Delegate Name** \_\_\_\_\_ **First Name for Badge:** \_\_\_\_\_

**Title** \_\_\_\_\_ **CAMLI Member # (if applicable):** \_\_\_\_\_

**Company/Org.** \_\_\_\_\_

**Address** \_\_\_\_\_

**City** \_\_\_\_\_ **Province/State:** \_\_\_\_\_ **Postal/ZIP Code:** \_\_\_\_\_

**Telephone** \_\_\_\_\_ **E-Mail:** \_\_\_\_\_

Prices are per delegate and do not include 5%GST	Early Bird -paid in full by June 30th	Full Registration
Regular Delegate	\$1300.00 + GST	\$1450.00 + GST
CAMLI Member	\$1225.00 + GST	\$1375.00 + GST
Multi registration *3 or more	\$1225.00 + GST	\$1375.00 + GST
Multi registrant price for CAMLI Member	\$1150.00 + GST	\$1300.00 + GST

## Food Allergies

YES (please specify):

## Plenary/Workshop Selections

To ensure your experience is tailored to your needs and interests, please review the conference agenda and make your desired choice from each grouping.

### Monday, September 11

Workshop 2:45 - 4:45pm

WS1  WS2

**Monday Reception 5:30 - 7:00 pm** Attending:  YES  NO

**Tuesday Reception 5:30 - 7:00 pm** Attending:  YES  NO

### Tuesday, September 12

Workshop 9:00 - 10:30 am

WS3  WS4

Workshop 11:00 - 12:30 pm

WS5  WS6

Workshop 1:30 - 3:30 pm

WS7  WS8

### Wednesday, September 13

Workshop 9:00 am - 10:30 am

WS9  WS10

## Payment Options

- Cheque payable to About Business Crime Solutions Inc.
- Please charge my/our American Express, Mastercard, Visa, or Discover account

Total Payment Amount **	Please Include Guest Reception Ticket cost	
Card Number	Exp. Date	/
Name on Card		
Signature		

\*\*For multiple registrants, please submit a separate registration form for each delegate from the same organization.

The two receptions are open to all delegates. Any delegates who would like to bring a guest to the reception(s) can purchase an additional ticket for \$40/guest. Please identify how many guest tickets you would like in the space below and add the corresponding amount to your payment.

I am requesting the following number of guest tickets to the receptions:

Evening Reception, Monday, Sept 11 \_\_\_\_\_ @ \$40.00 per ticket \$ \_\_\_\_\_

Evening Reception, Tuesday, Sept 12 \_\_\_\_\_ @ \$40.00 per ticket \$ \_\_\_\_\_

Total Additional Charges (includes taxes) \$ \_\_\_\_\_

## Register by FAX, telephone, post & email

Please copy this form to register multiple participants from the same organization separately

**FAX:** 613- 283-7775 **Mail:** ABCsolutions  
PO Box 427  
Merrickville, ON  
K0G 1N0

**Phone:** \_\_\_\_\_

**Email:** \_\_\_\_\_

**From:** [Meilleur, Len FIN:EX](#)  
**To:** [Knight, Chris W FIN:EX](#)  
**Subject:** RE: CAMLI  
**Date:** Tuesday, September 5, 2017 11:13:00 AM  
**Attachments:** [image001.png](#)

Great and I encourage him to take an active role in the AML/JIGIT work as the opportunities arise.

Len

J.E.L. (Len) Meilleur  
 Executive Director  
 Compliance Division  
 Gaming Policy Enforcement Branch  
 Ministry of Attorney General  
 Location: 3rd Floor, 910 Government Street, Victoria BC V8W 1X3  
 Mailing Address: P.O. Box 9309 Stn Prov Govt, Victoria BC V8W 9N1  
 [REDACTED] Fax:250-356-0782  
 E-mail: [REDACTED]  
 Website: [www.gaming.gov.bc.ca](http://www.gaming.gov.bc.ca)

*If you believe that you have received this email in error, please notify the sender and delete the email from your mailbox.*


**From:** Knight, Chris W FIN:EX  
**Sent:** Tuesday, September 5, 2017 10:30 AM  
**To:** Meilleur, Len FIN:EX  
**Subject:** RE:

Len,

Thank you very much for giving this office the opportunity to offer this training to John. He will reach out to CAMLI as requested and provide feedback to us shortly after his return.

He is very appreciative

*C. W. (Chris) Knight*  
*Regional Director, Northern Region*  
 Special Provincial Constable  
 Compliance Division  
 Gaming Policy & Enforcement Branch  
 Prince George BC  
 Direct: [REDACTED]  
 Main office: [REDACTED]

This is Exhibit <u>K</u> referred to in the affidavit of <u>Joseph Meilleur</u> sworn before me, at <u>Victoria BC</u> this <u>17</u> day of <u>September</u> 20 <u>17</u>  A COMMISSIONER FOR TAKING AFFIDAVITS IN THE PROVINCE OF BRITISH COLUMBIA
--



**If you believe that you have received this email in error, please notify the sender and delete the email from your mailbox.**



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**From:** Meilleur, Len FIN:EX  
**Sent:** Tuesday, September 5, 2017 10:14 AM  
**To:** Knight, Chris W FIN:EX  
**Cc:** Poirier, Courtney E FIN:EX; Nagel, Kandice FIN:EX; Nagel, Kandice FIN:EX; Kipping, Bernadette FIN:EX; Vear, Maureen FIN:EX  
**Subject:**  
**Importance:** High

Chris,

I am approving this in-Province travel as acting ADM understanding that John needs to book this week as the workshops start on Monday. Norine will be reaching out to Ken to see if he plans on attending any of the workshops. There is one request. I would like John to approach CAMLI to see how we can establish or assess our need to set up a CAMLI AML for gaming component and to obtain certification of some sort. It makes sense to me that CAMLI may be better suited to our AML needs than ACAMS which is U.S. based. I would like John to provide a report on that and to do a post workshop brief to others in GPEB on the training received.

Len

**From:** [Meilleur, Len FIN:EX](#)  
**To:** [McGregor, Scott FIN:EX](#); [Ackles, Ken FIN:EX](#)  
**Cc:** [Stewart, Robert A FIN:EX](#)  
**Subject:** ACAMS Presentation  
**Date:** Thursday, October 12, 2017 12:40:00 PM

---

FYI, in case you weren't able to attend the webinar.

[http://public.brighntalk.com/resource/core/164869/attendee-handout--verafin\\_323823.pdf](http://public.brighntalk.com/resource/core/164869/attendee-handout--verafin_323823.pdf)

J.E.L. (Len) Meilleur  
Executive Advisor  
Gaming Policy Enforcement Branch  
Ministry of Attorney General  
Location: 3rd Floor, 910 Government Street, Victoria BC V8W 1X3  
Mailing Address: P.O. Box 9309 Stn Prov Govt, Victoria BC V8W 9N1  
[REDACTED] Fax: 250-356-0782  
E-mail: [REDACTED]  
Website: [www.gaming.gov.bc.ca](http://www.gaming.gov.bc.ca)

*If you believe that you have received this email in error, please notify the sender and delete the email from your mailbox.*

This is Exhibit <u>  L  </u> referred to in the affidavit of <u>  Joseph Meilleur  </u> sworn before me, at <u>  Victoria BC  </u> this <u>  10  </u> day of <u>  September  </u> , 20 <u>  21  </u>  A COMMISSIONER FOR TAKING AFFIDAVITS IN THE PROVINCE OF BRITISH COLUMBIA
--

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# AML: A New Approach to Fight Complex Money Laundering Schemes

**VERAFIN**  
A STEP AHEAD

[acams.org/aml-training-web-seminars](http://acams.org/aml-training-web-seminars)




## The web seminar has started

If you experience any audio issues during the webcast please click on the “Rate This” button on top of your player window and follow the “Click here for help” link. If that does not resolve the issue, please email [REDACTED] and a customer support specialist will get back to you shortly.

ATTACHMENTS RATE THIS

RATE THIS CLOSE X

Rate the presenter and their content by selecting a star below and press the send button

 YOU ARE RATING THIS WEBCAST 5 OUT OF 5 STARS

Give your feedback on the presentation Not hearing audio? [Click here for help](#)



## Speakers

### **Brendan Brothers**

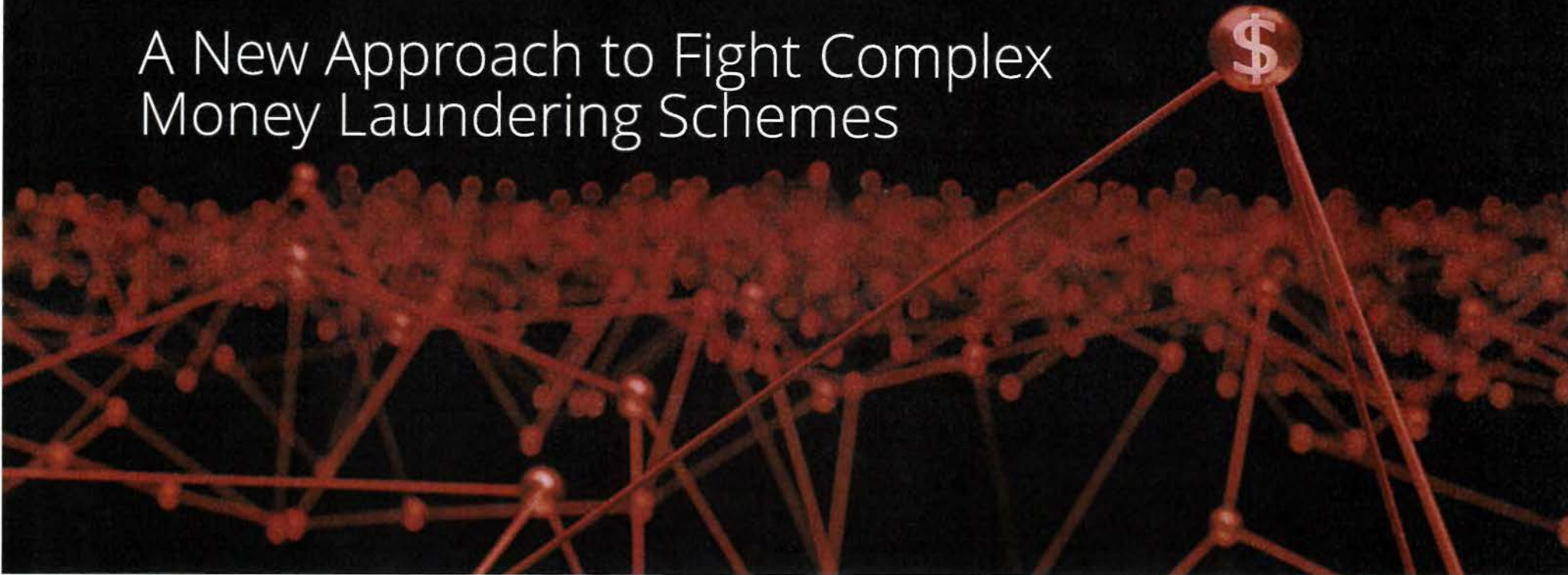
Co-Founder  
Verafin

### **Chris Swecker**

Financial Crimes Consultant and Attorney  
Assistant Director, FBI (retired)  
former Global Security Director, Bank of America

# AML

A New Approach to Fight Complex  
Money Laundering Schemes





# Brendan Brothers

Co-Founder  
Verafin

Our purpose is  
**to fight crime.**

*Human Trafficking*

*Terrorism*

# FRAMLx=

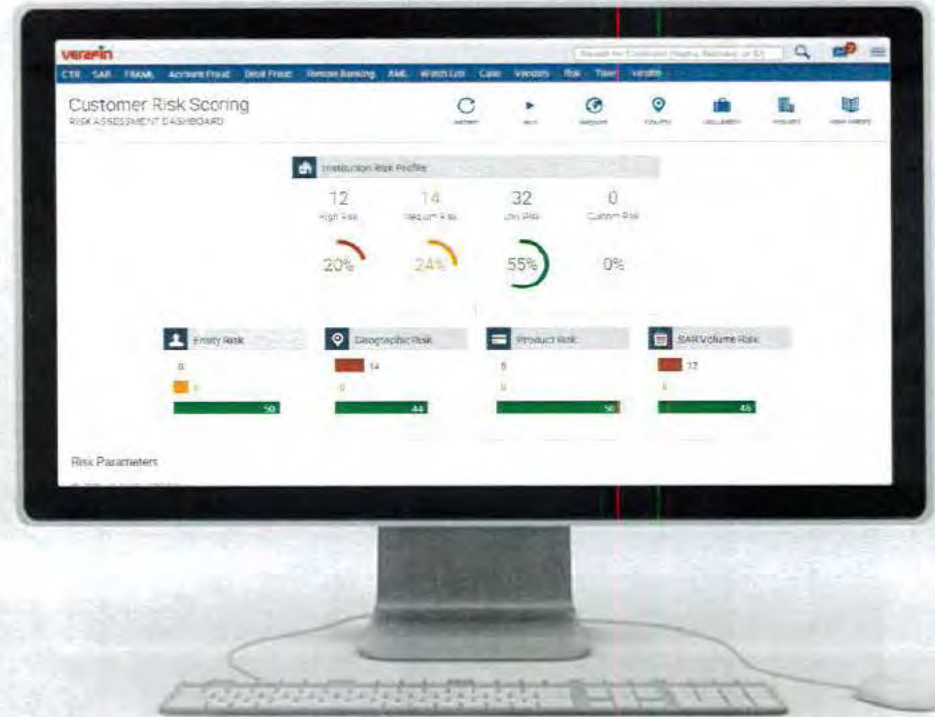
## Cross-institutional FRaud + Anti-Money Laundering & Collaboration

### One Software Platform

- Cross-Institutional Analysis
- Transaction Monitoring
- Behavior Based Alerts
- Customer Risk Scoring
- Online Collaboration Tools
- Case Management
- Customer Due Diligence
- Electronic CTR/SAR Filing
- Watch List Checking
- Vendor Management

### Investigate / Detect / Report

- Money Laundering
- Online Banking Fraud
- Deposit Fraud
- Identity Theft
- Tax Fraud
- Card Fraud
- Human Trafficking
- Terrorist Financing
- International Activity
- High-Risk Customer Finders



# FRAMLxchange

*Secure 314(b) Collaboration Network*



The financial industry is facing a  
significant challenge.



We need to fix the  
**SAR** regime.



Annual illicit revenue from  
money laundering activity  
could be as high as

**\$2 Trillion**

*PwC, Global Economic Crime Survey 2016*



Less than 1%  
global illicit funds  
seized by authorities.

*PwC, Global Economic Crime Survey 2016*





Financial crime is the largest, most profitable industry in the world.

# \$8 Billion

is the projected  
cost of compliance  
for 2017

*PwC, Global Economic Crime Survey 2016, provided by WealthInsight*



We need to address  
the flaws of  
the current  
AML system.





Greg Baer

President  
The Clearing House Association



# *A lost opportunity*

*"Examining the BSA/AML Regulatory Compliance Regime"*  
*Testimony from House Financial Services Subcommittee on*  
*Financial Institutions and Consumer Credit - June 28, 2017*



Greg Baer

President  
The Clearing House Association



"...lack of focus on the goals of the system is especially disheartening in an age in which emerging technology has the potential to make the AML/CFT regime dramatically more effective and efficient."

*"Examining the BSA/AML Regulatory Compliance Regime"*  
*Testimony from House Financial Services Subcommittee on*  
*Financial Institutions and Consumer Credit - June 28, 2017*



David Fein

Group Legal Counsel,  
Standard Chartered Bank

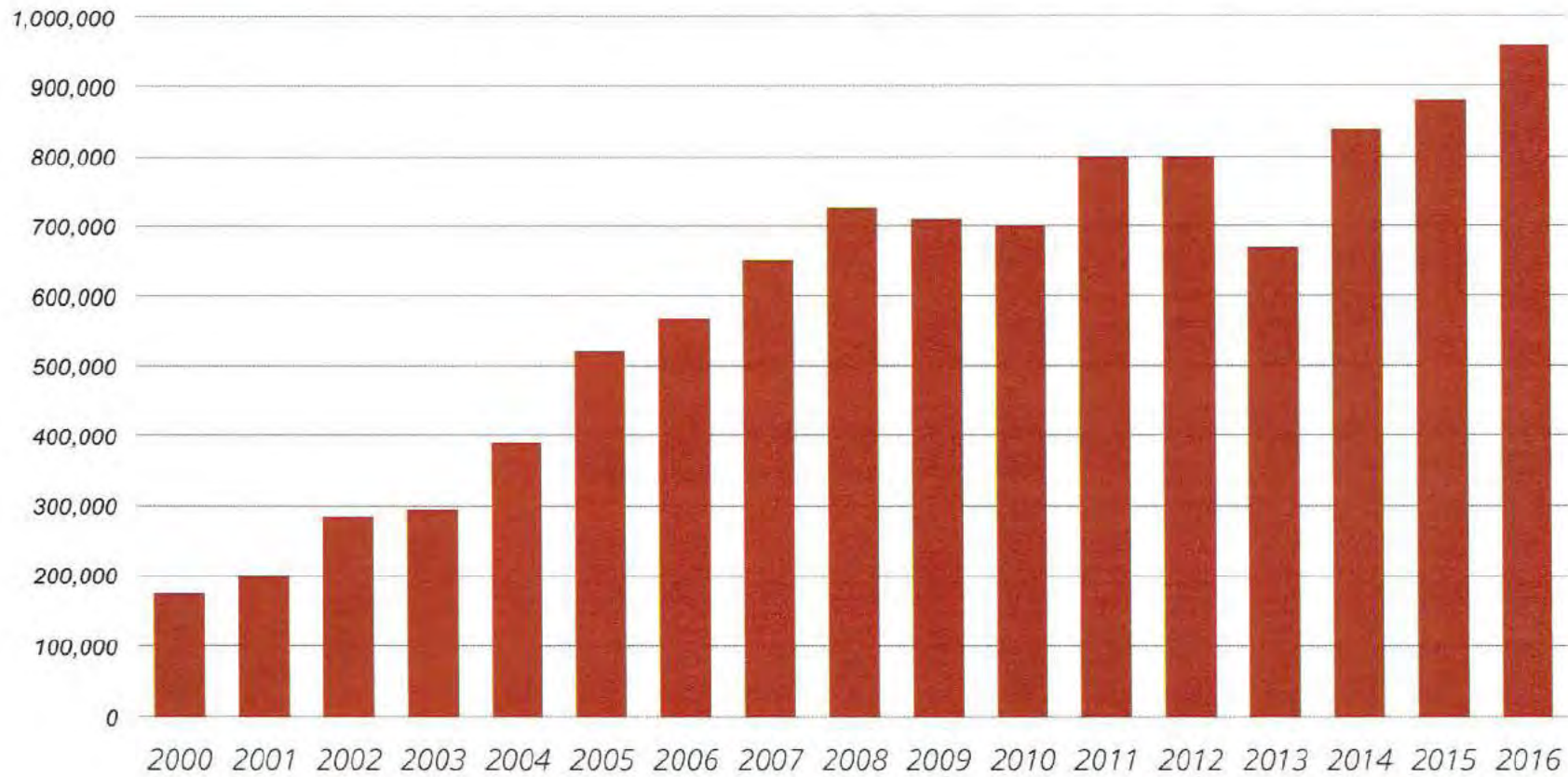


“At the centre of the problem,  
and the solution, are  
**Suspicious Activity Reports.**”

*Financial Times, November 2016*



# SARs - Depository Institutions



2015  
1.8 Million  
SARs filed





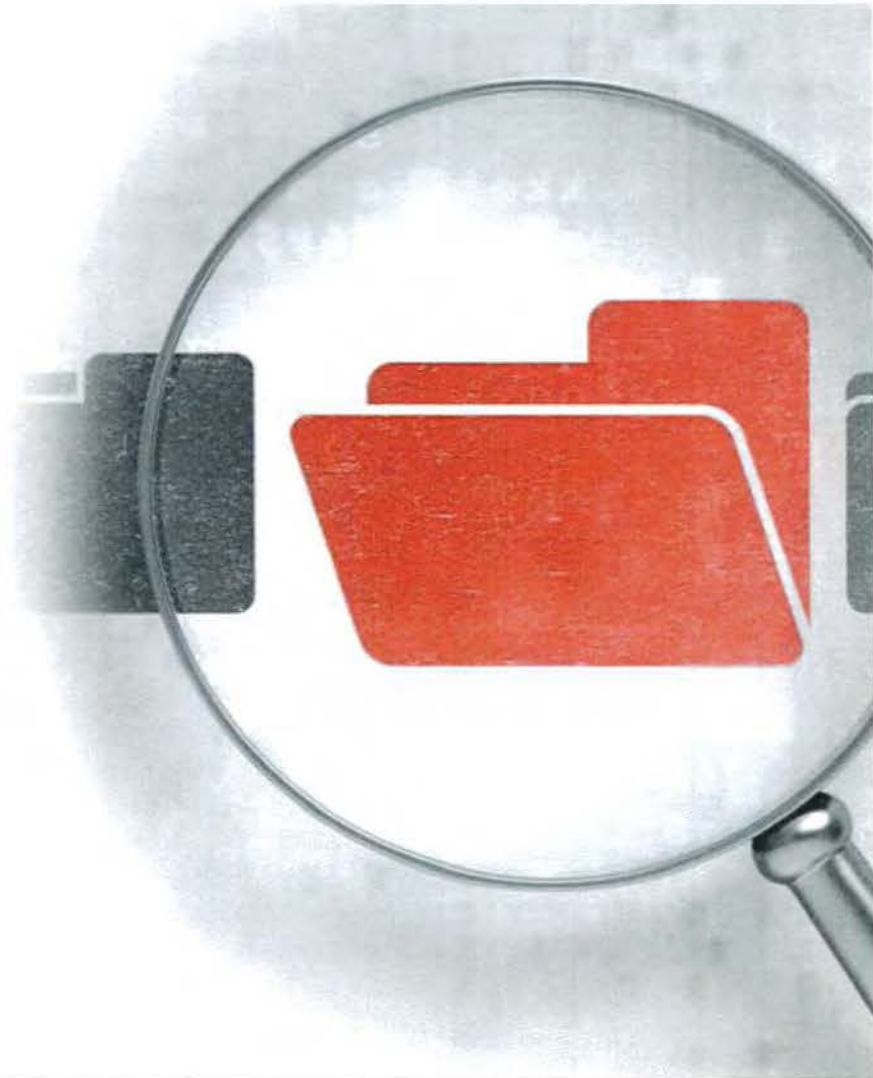
# Chris Swecker

Financial Crimes Consultant,  
Former Assistant Director, FBI  
Former Global Security Director,  
Bank of America

# The historical use of SARs



# Compliance VS Crime-fighting





# A New Paradigm: Redesigning the U.S. AML/CFT Framework to Protect National Security and Aid Law Enforcement

[www.theclearinghouse.org](http://www.theclearinghouse.org)

February 2017



"...substantial challenges to the systemic effectiveness and sustainability of the current regime have emerged and *require urgent attention.*"

*February 2017*



These challenges were categorized as:

1. Strategic problems
2. Operational problems



STRATEGIC PROBLEM

Absence of  
prioritization.



STRATEGIC PROBLEM

Absence of  
overarching purpose.



STRATEGIC PROBLEM

Outdated  
SAR regime.



OPERATIONAL PROBLEM

# Counterproductive examination standards.



OPERATIONAL PROBLEM

Significant barriers  
to information  
sharing.



OPERATIONAL PROBLEM

Inefficiencies.





Responses were categorized into two areas:

1. Immediate reform
2. Further study

IMMEDIATE REFORM

# Coordinate AML/CFT policy across government.





## IMMEDIATE REFORM

Develop inclusive annual process to for AML/CFT priorities.



IMMEDIATE REFORM

# Legislate beneficial ownership requirements.



IMMEDIATE REFORM

Encourage  
FIUs to innovate.



## IMMEDIATE REFORM

Deprioritize reporting  
of activity with low  
consequence.



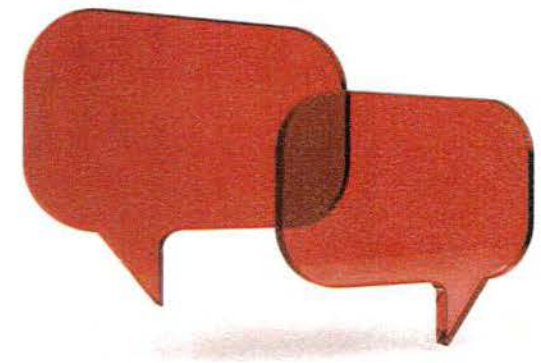
IMMEDIATE REFORM

Facilitate data flow  
from FIs to  
law enforcement.



IMMEDIATE REFORM

Change regulation  
to encourage  
information sharing.



IMMEDIATE REFORM

Enhance legal certainty  
on use and disclosure  
of SARs.



# The *future* of AML





Commentary – [verafin.com/blog](http://verafin.com/blog)

U.S. COURT CASE  
60 Funnel Accounts Used by  
Heroin Trafficking Organization

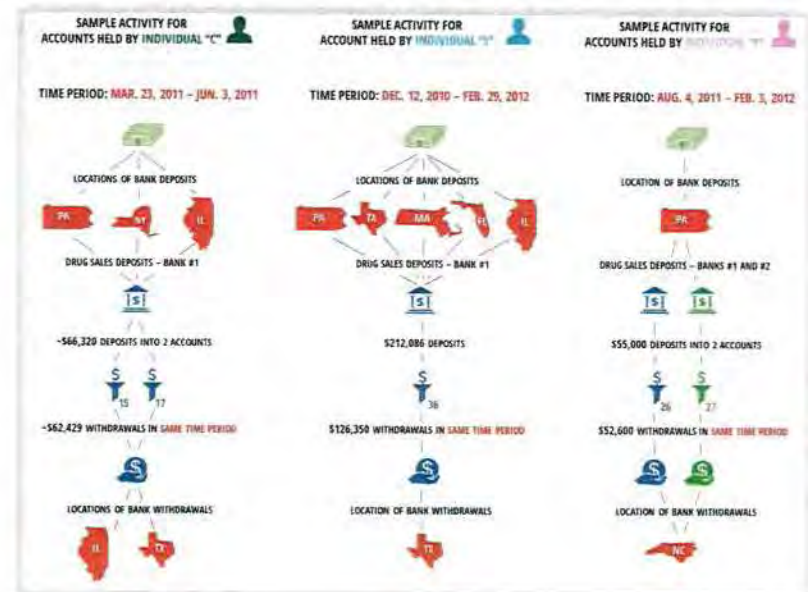
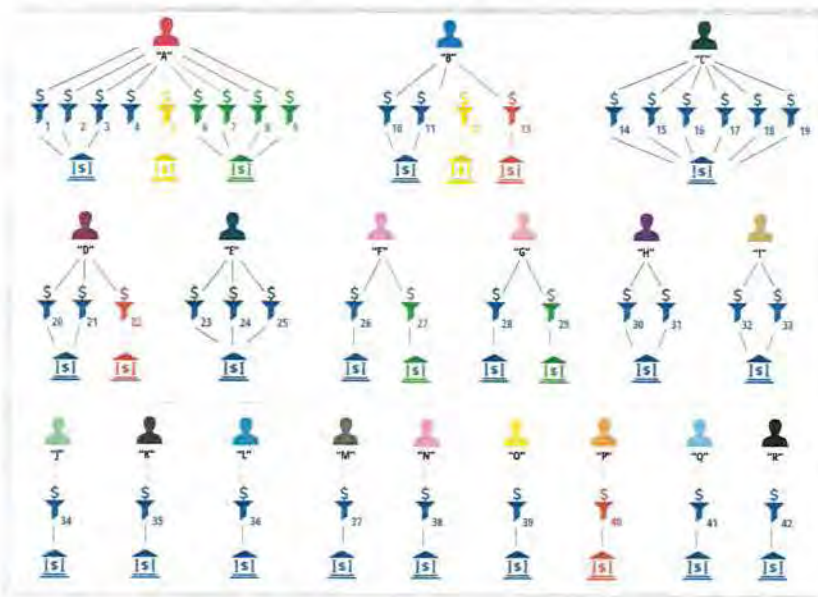


Sept. 27, 2017 by **Denise Hutchings**  
*Financial Crimes Research Specialist, Verafin*

Commentary - [verafin.com/blog](http://verafin.com/blog)

## U.S. COURT CASE 60 Funnel Accounts Used by Heroin Trafficking Organization

Series of detailed diagrams outlining various aspects of the Heroin Trafficking Organization's banking activities at multiple U.S. banks as well as other financial highlights from court documents.





## FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime

*May 2016*

1. Recognize law enforcement for **effective use of FI reporting** to obtain a successful prosecution.
2. Demonstrate to the industry the **value of reporting** to law enforcement.



*May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

Financial institution reporting played a key role in the investigation of one of the **top international proliferators for weapons of mass destruction (WMD)**, as well as a *principal supplier to Iran's ballistic missile program.*



## *May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

### CASE 1 DETAILS

- ✓ Criminal (national of china) – 12(+) aliases
- ✓ Used network of front companies to funnel transactions covertly and illegally through U.S. FIs
- ✓ Used aliases and fictitious business names to conceal beneficiaries of wire transfers that passed through bank account in U.S. knowing beneficiaries had been identified by OFAC as SDNs
- ✓ Concealed involvement from both U.S.-Based correspondent banks and U.S. Regulators



## *May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

### CASE 1 DETAILS

- ✓ FBI reviewed dozens of reports from FIs re multiple front companies
- ✓ Many front companies used same address or close variant as company that had been put on OFAC SDN list
- ✓ Based on 40+ reports from numerous banks, FBI determined network had laundered millions through multiple U.S. Banks
- ✓ Info in FI reports helped identify over 20 front companies and bank accounts



## *May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

### CASE 1 DETAILS

- ✓ FBI identified 165 transactions used to illegally funnel ~\$8.5m through U.S. Financial system
- ✓ Harnessing FI reports, FBI conducted outreach to U.S. banks through FinCEN to seize millions from proliferators' foreign bank accounts
- ✓ DOJ seized ~\$6.5m from proliferators' bank accounts
- ✓ OFAC designated 8 associated front companies
- ✓ Dept. of commerce added 9 China-based suppliers to entity list



*May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

A dozen reports filed by five different Fis revealed **a large-scale, organized criminal enterprise** that participated in bank fraud, conspiracy to operate an unlicensed wholesale distribution of drugs, and money laundering.





## *May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

### CASE 2 DETAILS

- ✓ Dozen reports filed by 5 FIs revealed large-scale criminal enterprise
- ✓ Operating array of criminal activities including sale of diverted pharmaceuticals and money laundering
- ✓ Reporting provided list of bank accounts controlled by >30 suspected individuals who established accounts to launder illicit funds
- ✓ Perpetrators formed multiple shell companies, some under false identities, to liquidate drug proceeds



## *May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

### CASE 2 DETAILS

- ✓ Info provided by FIs showed perpetrators withdrew >\$15m cash from 1 bank account over 2 years
- ✓ FI reporting assisted government to decipher web of shell companies, false identities, and additional bank accounts utilized by organization
- ✓ Government investigators reviewed records for >500 bank accounts



## *May 2016 - FinCEN Awards Recognize Partnership Between Law Enforcement and Financial Institutions to Fight Financial Crime*

### CASE 2 DETAILS

- ✓ >\$28.6M seized from multiple accounts used to launder drug proceeds
- ✓ FI reporting pivotal for near real-time info to identify bank accounts & account information to secure seizure
- ✓ >\$2.5m of street-diverted pharmaceuticals also seized
- ✓ 33 individuals arrested and convicted



**Jennifer  
Shasky Calvery**  
Former  
Director of FinCEN

“Without the valuable information that U.S. financial institutions provide the significant cases recognized here today would likely *never have seen the light of day...*”



## Financial Action Task Force

Public Consultation on the Draft Guidance  
for Private Sector Information Sharing  
*June 2017*

The FATF is developing guidance on how to  
**promote information sharing**  
**within financial institutions,**  
including through public-private partnerships.

# Public-private partnerships.





**JMLIT, UK**  
*Joint Money Laundering Intelligence Taskforce*



Monetary Authority  
of Singapore



## ACIP, Singapore

*Anti-Money Laundering and Countering the  
Financing of Terrorism Industry Partnership*





Fintel Alliance, Australia  
*Private-public partnership*

# AML: A New Approach



## Challenges:

- General inefficiencies
- Counterproductive examination standards
- Outdated nature of the SAR regime
- Barriers to information sharing



## Recommendations:

- Support of innovation among FIUs
- More data to FinCEN
- Feedback to financial institutions
- Encourage information sharing
- Clarify use & disclosure of SARs



Greg Baer

President  
The Clearing House Association



“...there is actually a term for this now called ‘super SAR’ and banks actually walk those into the U.S. Attorney’s Office or the FBI... and say, look we filed the SAR like we always do but this one *really* means it.”

*“Examining the BSA/AML Regulatory Compliance Regime”  
Testimony from House Financial Services Subcommittee on  
Financial Institutions and Consumer Credit - June 28, 2017*



Jamal El-Hindi

Acting Director,  
FinCEN



*On special project with FIs:*

"...we've engaged with them... have them share information with each other and share information with ourselves.

*And we do view that as bringing added efficiencies to our regime.*

The benefits with respect to that type of information sharing are clear."



Greg Baer

President  
The Clearing House Association

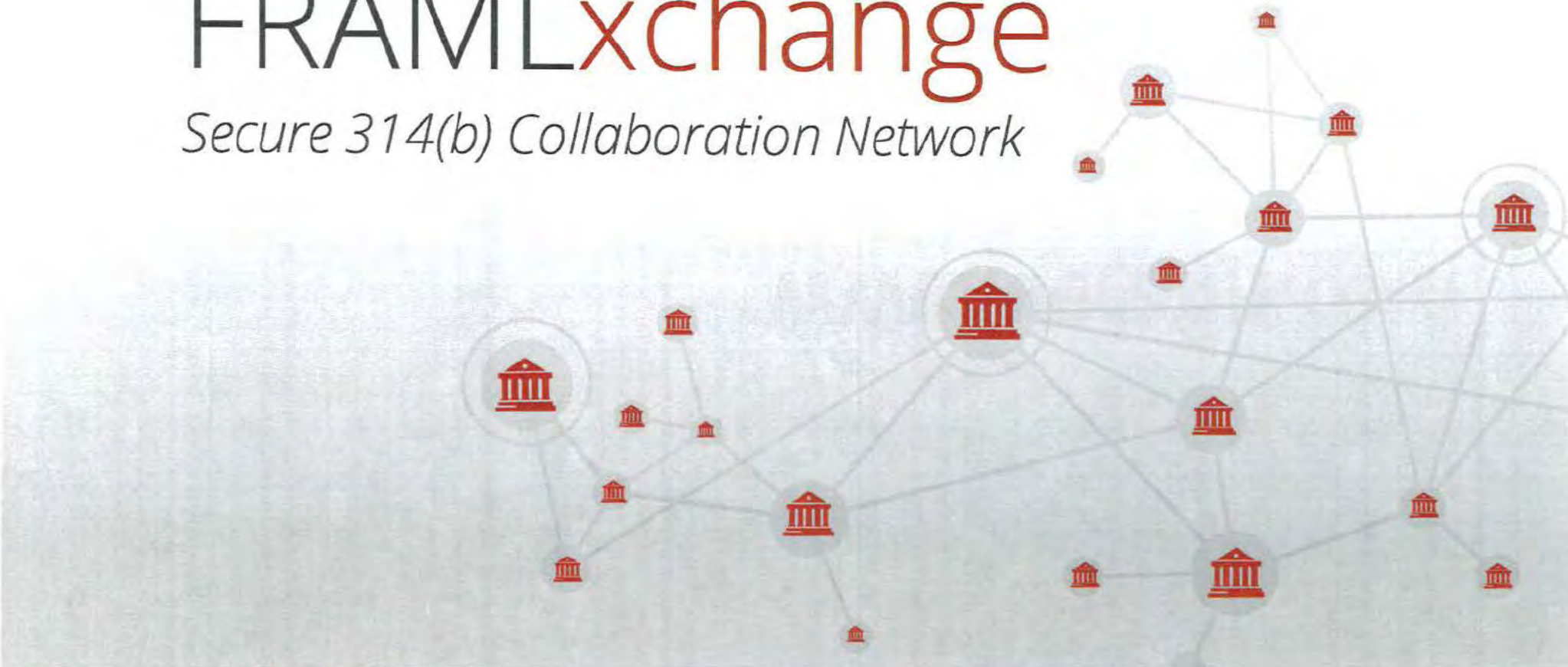


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